

SIF Infrastructure Specification 3.2.1: Infrastructure Services



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1. Introduction

All Service Consumers and Service Providers in a SIF 3 Environment interact only through and with the Environments Provider Interface. The implementation of this interface provides the infrastructure underlying the SIF 3 Environment, and is defined at three levels:

- The “Direct” Environments Provider API comprises the minimum set of functionality that must be offered to Service Consumers in environments where they connect directly to the implementation providing the Object Services.
- The “Brokered” Environments Provider API represents the minimum set of functionality that must be offered to Service Consumers and Service Providers in a more full featured “environment where Object Service Consumers and Providers are all connected through a central “Message Broker” middleware component such as an Enterprise Service Bus (ESB).
- The “Administrative” Environments Provider API includes an additional set of functionality that is a natural extension to the Brokered Architecture API and which could be useful to Administrative applications monitoring and controlling the set of Consumers and Providers interoperating together to provide a SIF 3 solution.

While outlined here, this third level will not be explicitly documented as in many cases the specific details are internal to the Environments Provider’s implementation rather than its external interface.

1.1 Required Reading

Please refer to the SIF 3.2.1 [Basic Architecture](#) document for an understanding of the terminology, concepts global element definitions, Service types, operation descriptions and exchange choreographies that will be referred to here.

A working knowledge of that document is assumed as a prerequisite, as the Infrastructure Services will utilize the Service framework described there.

1.2 Infrastructure Functionality Summary

All Infrastructure Services are directly connected to every Service Consumer, rather than accessed through the Requests Connector Service. They follow the standard Data Object

Service API in that they support some or all of the defined Object Service Provider interface methods (Query, Create, Update, Delete).

The generic functionality provided by each Infrastructure Service in supporting the Environments Provider API is shown in the following table. Each will be described in detail in the sections that follow.

Infrastructure Service	Functionality
environments (the initial URL address)	<p>The starting point of an application's interactions with the Environments Provider.</p> <p>It authenticates the registering application using a preset list of "authorized" Service Consumers. When successful, it provides the application with a (possibly customized) consumer Environment. Specifically it:</p> <ul style="list-style-type: none"> Validates support for application interoperability requirements (Transport, Locale, DM and Infrastructure Versions) and Application key. Creates and returns a customized "Environment" for the application Returns an Authentication Token to be used on all subsequent Service Requests in that Environment, if not covered by another external authentication standard being leveraged. <p>This is accomplished through a single operation (<i>create</i> Environment), which is invoked when an application wishes to Register as a Consumer.</p>
environment	<p>The Consumer's gateway to all Services (Infrastructure, Utility, Data Object and Functional).</p> <p>The Environment Service provides the set of (possibly customized) information the Consumer needs to interoperate successfully, including:</p> <ul style="list-style-type: none"> Environment ID and type (ex: "Production" or "Test") URLs to the full set of Infrastructure Services supporting this Environment (the remaining rows of this table). The ID of the application's default Zone in the Environment. <p>The main section also contains the complete set of authorization (provisioning) rights to the currently approved set of operations (qualified by Zone, Context and Service name) it can issue.</p> <p>If the Consumer is further authorized to be a Provider of one or more Services</p>

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	(Brokered Architectures only) that is indicated in the provisioning information. The <i>environment</i> is read-only. ¹
provisionRequests	Creating a <i>provisionRequest</i> extends the Consumer's <i>environment</i> by: <ul style="list-style-type: none"> • Setting a new default Zone value • Requesting extensions to the Consumer's current set of authorized per-Zone / per-Context / per-Service operation rights.
queues and queue	A Consumer may create one or more <i>queue</i> instances using the <i>queues</i> service and then must associate one of those instances with every Event Type subscription during Consumer provisioning, and specify one as part of every Delayed Request it issues. Each <i>queue</i> Service instance guarantees <i>first in first out</i> (FIFO) delivery of the oldest stored asynchronous Event or individual delayed Provider Response when an explicit Consumer <i>query</i> Request arrives for the next message.
subscriptions	Creating a <i>subscription</i> , which specifies a Service Provider and a Queue, will result in the subsequent asynchronous delivery of all published Events from that Service Events to that Queue.
requestsConnector (the URL used by a Consumer to access most Non-Infrastructure Services)	This is the Infrastructure Service that connects a Registered Consumer to most non-Infrastructure Service Providers. It is the URL to which the Consumer issues all Data Object and Utility Service Requests (i.e. Requests for services other than the Infrastructure Services described in this section). The Requests Connector Service securely routes (and possibly filters): <ul style="list-style-type: none"> • Consumer Requests to the selected Service Provider • Service Provider Responses back to either: <ul style="list-style-type: none"> • The open HTTP connection over which the Consumer request was originally issued (for Requests requiring immediate Responses). • The particular <i>queue</i> instance specified by the Consumer (for Delayed Responses)
eventsConnector (the URL used by a Service Provider to post its Events)	This is the Infrastructure Service that connects a Provider of one or more Services to all its subscribing Consumers in a Brokered Architecture. It is the URL to which the Provider publishes all its Events reflecting changes in the data of the objects it provides.

¹ There may be other information, which the Environments Provider is maintaining for the Consumer, such as XML Filtering rules.

	The Events Connector Service maintains the list of subscribers for each Event type and replicates and securely routes (and possibly filters) the published Event to each of the assigned Subscriber Queues.
servicesConnector	Similar to the requestsConnector but for Functional Services (Job Objects and their Phases).

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1.3 Infrastructure Service “uniqueness”

While the above Infrastructure services all conform to the Object Service interface, they differ from other Services in several important ways.

1.3.1 Infrastructure Service Operation Invocation

When a Service Consumer invokes an operation on an Infrastructure Service, it does so using a URL *unique* to that Infrastructure service. When a Service Consumer invokes an operation on any other type of Service (including Utility Services) it uses the URL of the Requests *Connector* Infrastructure Service², which securely routes the invocation to its intended destination.

1.3.2 Infrastructure Service Query Requests

Since every Consumer is directly connected to its set of Infrastructure Services, all Infrastructure Service Requests are “immediate”, and the Responses are always synchronously returned on the same HTTP connection. This implies (for all Infrastructure Services other than the Requests Connector):

- Only “immediate” mode Query Requests are legal (where the Response is returned as the HTTP Response to the HTTP Request).
- There is no XQuery functionality and no “object ranges” involved in Query Requests to an Infrastructure Service. The contents of the entire object will always be returned in the immediate Response.

² In a Brokered Architecture, the Connector Service URL corresponds to the SIF 2.x ZIS URL, and provides a gateway to the routing and guaranteed delivery services of the Message Broker middleware. In a Direct Architecture, this is typically the URL corresponding to an internal Service queue, which accepts incoming requests, contained within the application implementing the Direct Architectures Provider Interface.

1.3.3 Other Infrastructure Service Requests

The API simplifications on the remaining Request types reduce the complexity required of Environments Provider Implementations, and reflect common middleware conventions.

- Unless specifically noted, only the singular forms of the Create, Update and Delete methods may be issued to an Infrastructure Service.
- Since all Requests are immediate, a Queue Service instance will not be specified in any type of Infrastructure Service Request. This allows even simple, synchronous Consumers to fully utilize these Services.

1.3.4 Infrastructure Service Events

Infrastructure Services can be viewed as synchronous web services, which together provide the underlying framework for the complete Environments Provider Interface. All Requests have immediate Responses, and Infrastructure Services do not publish Events.

1.3.5 Infrastructure Service Scoping

With the exception of the *queue*, all Infrastructure Services are Environment-wide in the sense that as far as the Consumer can tell, there is only one Infrastructure Service of each type present, and it is independent of Zones and Contexts. Neither a Context nor Zone identifier is ever present in any request posted to an Infrastructure Service.

The URLs of all Infrastructure Services accessible by a Consumer are contained within the Consumer's *environment*.

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2. Message Header Differences

The following tables describe the differences between the header elements of Requests, Responses and Event messages exchanged with Infrastructure Services and those exchanged with all other Service types. They are a reflection of the unique aspects of Infrastructure Services listed above.³

2.1 Universal Header Elements

There are no header differences between an Infrastructure Service and a Utility or Data Object Service.

Example:

The following HTTP Header fragment illustrates the formats of header elements in a message being exchanged between a Service Consumer and an Infrastructure Service Provider.

```
timestamp: 2012-10-24T15:58:33.984Z
messageId: 12345678-1234-4567-1234-567812345abc
```

2.2 Request HTTP Headers

These differences apply specifically to all Requests sent by a Consumer directly to any Infrastructure Service URL and are used for message routing. As the URL determines the endpoint Service Provider rather than the message header, the normal Zone, Context and Service Type / qualifiers (i.e. HTTP Headers, Matrix or URL Query Parameters) are not needed for infrastructure message routing.

³ Please refer to the corresponding definition tables in section "4.3.2 Parameter Details Summary" in the SIF 3.2 Base Architecture document for the general definitions of all qualifiers being contrasted.

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Qualifier Name	Description
zoneld	Is omitted. ⁴
contextId	Is omitted.
serviceType	Is omitted
requestType	If included, must be set to "immediate" (which is also the default value).
requestAction	If included, unchanged in meaning.

Example:

The following illustrates the formats of all HTTP message headers as they would be represented in for example a *create* operation issued to *subscriptionRequests* to provision the Consumer as a subscriber to events from a specified Provider.

The URL assigned to the *subscriptionRequest*'s Infrastructure Service in the Consumer's environment determines the actual destination. The HTTP Request is sent there, and the synchronous HTTP Response will convey the URL of the newly created *subscriptionRequest*.

timestamp: **2012-10-24T15:58:33.984Z**

messageId: **12345678-1234-4567-1234-567812345abc**

requestAction: **CREATE**

2.3 Response Header Elements

There are no differences that apply specifically to the header elements of all Responses returned by an Infrastructure Service. All header element meanings are identical to those in Immediate Responses returned by any other Service type.

⁴ This is not true for Utility Service requests, which go through the request connector. These have a *zoneld* value of *environment-global* and like the Infrastructure Services are all environment-wide and universally available to all Consumers. The *environment-global* Zone is the single qualifier for where they may be found, is present in all SIF 3 Environments, and all contained Services have only the "DEFAULT" Context. Only Utility Services will ever be assigned to the *environment-global* Zone. Utility messages should be routed to the *environment-global* Zone by observing the *serviceType* header as the *zoneld* parameter is often used to scope responses to information for that zone.

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3. The Consumer and its Environment

This section describes the sequence of operations that support the process of initially registering an application as a Service Consumer, the range of “environment” functionality offered after successful registration, and how that functionality might be used by both a low end and high end Consumer.

3.1 Environment Scalability

The Environment provided to a successfully registered SIF 3 Consumer can range (aside from Utility Services) from a single Zone providing only a single Object Service, all the way up to one encompassing multiple Zones, which organize, manage and connect multiple Object and Functional Service Providers and Consumers across an entire educational Organization.

One of the primary goals of the SIF 3 infrastructure is to ensure that the functionality of the xPress Environment is a clean and precise subset of larger ones, so that even a Consumer application deployed on a mobile device can:

- Execute independently of the richness of the *environment* with which it is supplied
- Remain simple (i.e. *environment* defaults allow it to ignore Infrastructure and Utility Services it does not need to use)

The table below shows the usage of each of the SIF 3 Infrastructure Services by both a xPress Service Consumer, and one which relies on and takes advantages of the complete functionality offered by a “high end” Brokered Architectures Provider.

Infrastructure Service / URL	xPress Consumer Usage	Full-function Consumer Usage
environments	<p>May issue a create request to the <i>environments</i> URL containing the application’s identification and its transport requirements.</p> <p>On success, it gets back its “<i>environment</i>” that may include a “permanent” Authorization Token that, when present, must be used on all subsequent Service Requests.</p> <p>When skipped the Environment provider must have either had the environment pre-created for the consumer or can generate one on the fly without further information</p>	<p>While the requirements do not change, a full-function Consumer will start with this request. This simply allows the consumer to give its end user the best experience possible. Based upon its identification, a more full featured <i>environment</i> is likely to be created and returned than for SIF xPress Consumers.</p>

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	that would come for the environment creation request.	
environment	<p>The <i>environment</i> returned in the create response has the URLs of the other Infrastructure Services comprising and supporting it, along with the Consumers default Zone ID, and initial service operation authorization rights.</p> <p>When skipped, the Consumer may read its environment from the URL indicated in the environmentURI response header.</p>	Examines the <i>environment</i> returned, and uses it as the basis for creating an additional <i>provisionRequest</i> , which after completion requires reexamination of the total <i>environment</i> to see effected changes.
provisionRequests	<p>In the simplest case, the Consumer can assume its environment already contains pre-authorization of all the Requests it needs. This allows it to issue requests as needed.</p> <p>If an authorization violation occurs, the consumer may create a <i>provisionRequest</i>; however an xPress consumer will probably just let the user know about the issue.</p> <p>If site administration policies support “pre-authorization” of access rights and the Consumer does not subscribe to Events, no <i>provisionRequest</i> need ever be created.</p>	<p>These are created to extend the Consumer's default provisioning to include authorization to issue Requests and subscribe to Events from Object and Services in non-default Zones and Contexts.</p> <p>Exploring the Consumer Environment for other than pre-allocated Services might require the Consumer to <i>query</i> both the <i>zones</i> and <i>providers</i> Utility Services⁵.</p> <p>If the Consumer is further authorized to be a Service Provider (Brokered Architectures only), it must be authorized to issue <i>create</i> requests to the <i>providers</i> Utility Service Registry.</p>
queues and queue	<p>An xPress Consumer may issue only “immediate” Requests, which result in synchronous Responses on the same HTTP connection (nothing is queued). In that case, only Events will arrive asynchronously.</p> <p>If all Requests are immediate and no Events are subscribed to, then the Consumer does not need to provision / access any dedicated <i>queue</i> Service.</p>	<p>A high end Consumer may utilize the <i>queues</i> service multiple Queue Services in a variety of ways to achieve maximum scalability. One common usage pattern might be to create multiple <i>queue</i> service instances and:</p> <ul style="list-style-type: none"> • Subscribe to “important” Provider Events with Queue A • Subscribe to lesser (or overly chatty) Provider Events with Queue

⁵ Please refer to the Utility Services document for details on these and other Utility Services.

		<p>B</p> <ul style="list-style-type: none"> Issue any Requests for Delayed Responses with Queue C <p>Then Queue C can be polled for important delayed responses, the important Events in Queue B can be polled for next, and the lesser Events in Queue A polled for only when all other message sources are "quiet"</p>
requestsConnector	All Data Object and Utility Service operations are invoked here.	Identical usage.
servicesConnector	All functional services operations are invoked here.	Identical usage.

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3.2 Environment Initialization

As noted in the table above, an xPress application of the sort that resides on a mobile device in a correctly configured environment, could start issuing authorized create, query, update and delete Requests to the set of Data Model-specific Object and Functional Services immediately.

A more complex Consumer could additionally issue requests to the set of accessible Utility Services to:

- Create one or more *subscription* objects to explicitly subscribe to Events of a specified Service.
- Create one or more *queue* Service instances which it can use to synchronously poll for asynchronously arriving messages (Events and / or Responses to Delayed Requests).
- Create or use one or more fixed Query Templates within the Query Template Utility Service as the basis of subsequent *query* operations.
- Query the *Providers* and *Zones* Utility services for additional Service Providers (possibly in other Zones) that it may wish to access. Provision itself to invoke operations on them by creating a provisionRequest with additional authorization assertions.
- Create a new *Provider* entry, effectively making itself a Service Provider, capable of receiving and responding to Requests from other Consumers, and publishing its own Events (Brokered Architectures only).
- Create an *Event* for an object type it provides, which will be published to all subscribers.

- Utilize the *Alerts* Utility Service to generate error or warning messages as needed.

The remainder of this document will describe each of the Infrastructure Services, which support these processes in further detail.

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4. Environments Service

The environments Service is the starting point of a client application's interactions with the SIF 3 Environments Provider, and its service URL is one piece of information every candidate SIF 3 Consumer must have knowledge of.⁶ The elements comprising the Environment object are shown below. They will be explained in more detail in the sections that follow.

```
<environment xmlns="http://www.sifassociation.org/infrastructure/3.2.1"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  type="DIRECT"
  id="B5Fd5DfB-ca3b-162a-5657-dDf31E32cE92">
  <fingerprint>f5688c40-97d2-11e6-ae22-56b6b6499611</fingerprint>
  <sessionToken>a579ff69-0148-1000-007f-14109fdcaf83</sessionToken>
  <solutionId>production</solutionId>
  <defaultZone id="District">
    <description>The zone for the local school district.</description>
    <properties>
      <property name="email">john.smith@district.k12.wa.us</property>
      <property name="phone">(360)555-1234</property>
    </properties>
  </defaultZone>
  <authenticationMethod>SIF_HMACSHA256</authenticationMethod>
  <instanceId>1</instanceId>

  <userToken>MmZkMjVmODItYjAxMCM0NTFiLWFjZTEtNmRjNTI4MwYwZjdlOmhpdHNAbnNpcA==</userToken>
  <consumerName>Example Consumer</consumerName>
  <applicationInfo>
    <applicationKey>Example</applicationKey>
    <supportedInfrastructureVersion>3.1</supportedInfrastructureVersion>

  <dataModelNamespace>http://www.sifassociation.org/datamodel/na/3.3</dataModelNamespace>
  <transport>REST</transport>
  <applicationProduct>
    <vendorName>SIF Association</vendorName>
    <productName>Fingertips Classroom App</productName>
    <productVersion>1.0.4</productVersion>
```

⁶ The Consumer registration process supports a fairly broad range of administrative polices. Note that there could be multiple environments services within a given organization, each supporting a different type of "solution" (ex: Test, Staging, Production), and creating individual Consumer environment objects scoped to that solution, by filling them with an entirely different set of infrastructure service URLs.

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```

<iconURI>https://www.sifassociation.org/PublishingImages/SIF%20Assoc%20symbol_LARGE.png
</iconURI>
  </applicationProduct>
</applicationInfo>
<infrastructureServices>
  <infrastructureService
name="environment">https://testharness.sifassociation.org/SIFConnector/AdaptorServer/0f
21cf0b-014c-1000-007f-00505686707f/environment/0f21cf0b-014c-1000-007f-
00505686707f</infrastructureService>
  <infrastructureService
name="requestsConnector">https://testharness.sifassociation.org/SIFConnector/AdaptorSer
ver/0f21cf0b-014c-1000-007f-00505686707f/requests</infrastructureService>
</infrastructureServices>
<provisionedZones>
  <provisionedZone id="District">
    <services>
      <service name="students" contextId="DEFAULT" type="OBJECT">
        <rights>
          <right type="QUERY">APPROVED</right>
        </rights>
      </service>
      <service name="sections" contextId="DEFAULT" type="OBJECT">
        <rights>
          <right type="QUERY">APPROVED</right>
        </rights>
      </service>
    </services>
  </provisionedZone>
</provisionedZones>
</environment>

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4.1 Consumer Authentication

When the client application issues a “create” request to the environments Service URL, that service will authenticate the application via the contents of the provided “initial” Authorization Token, which generally can be mapped to a specific application/product or user. Only certain applications or users may be pre-approved to even “attempt” to create an Environment. Whether such a given attempt succeeds depends upon the remaining elements in the create request payload.

Some of these elements indicate additional “aspects” of the actual client’s identity, which, taken together, will determine the authorization limits imposed on the resulting Consumer should the create Environment operation be successful. These include:

- The applicationKey of the requesting application and an associated “shared secret” (ex: *RamseyPortal:a1b2c398*)
- Optionally, the requesting “instance ID” of that application. This could represent:
 - The serial number of a mobile device where the Portal application instance is physically installed
 - A logical instance (ex: all users who are students in the middle school)
 - A parallel connection to the Environment made for scalability reasons or other purposes known only to the Client application.
- Optionally, the ID of the requesting user of that application (or application instance) and associated information. Security for the shared secret values contained in this message is provided by the HTTPS line protocol over which it must be sent.

If authentication for the “complete Consumer” fails, or if there is another mismatch between client/service expectations (Data Model or Infrastructure versions supported or choice of Authentication Method) an error response **must** be returned.

Otherwise the basic requirements for interoperability have been satisfied. In that case the Environments Provider will register the issuer as a “Consumer”, and return it an *environment* object containing:

- The URLs of the Infrastructure services it can access

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- The list of Data Object and Utility service operations it guarantees to be pre-authorized to issue.⁷
- An initial "Session Token"

Once the Consumer is successfully registered, a series of request-response exchanges between Consumer and Environments Provider begin. They last up until the time the Consumer "session" ends when the Environment is deleted by either the Consumer, or by the Environments Provider (typically only after a period of inactivity has exceeded some preset limit).

4.1.1 Authentication Exchange Sequence

Until session expiration, the Consumer may issue multiple requests; each containing an *authorization* "based upon"⁸ the sessionToken it received in the payload of the response to its original Environment "create" operation or by continuing to use the chosen SSO standard. This *authorization* token allows the Environments Provider to identify the registered Consumer and authenticate that it is the issuer of that request, which it will then process directly (or route it along to the appropriate Service Provider) only if the Consumer has been granted the necessary authorization for the operation it is requesting.

This makes the "create" Environment request unique from all subsequent ones, because the *authorization* token that accompanies it cannot be based upon the value of the Environments-provided sessionToken, since at the point when it is issued, there is no established session.

The details of the particular SIF Consumer authentication method used at a given site are encapsulated by the following:

- The original value of the *authorization* provided with the Environment *create* request

⁷ Access to Object Services not on this list can be requested via issuing a "create" to the provisionRequests Infrastructure service, at which point the status of all needed authorization rights will be explicitly determined. Alternatively the desired Data Object request can simply be issued, whereupon the response will determine whether Consumer authorization of that particular request for that Data Object was granted.

⁸ The actual details of how the Consumer calculates the new value of the Authorization Token depends upon the details of the Authentication Method value selected in the Environment create request payload.

- The value of the *sessionToken* returned by the Environment Provider in response to that request
- The algorithm defining how the value of that *sessionToken* is used to calculate the *authorization* tokens for all subsequent requests issued by that Consumer.

There are multiple ways to achieve this, and no one technique is mandated in this standard. Rather a flexible framework for generating the permanent *authorization* token and enforcing a variety of secure authorization policies is provided, involving the use of one or more of the following elements contained in the Environment create request payload.

4.1.2 Authentication Elements

In addition to the “timestamp” element which accompanies every request (in [xs:dateTime](#) format) and which may be used to ensure token uniqueness, the following elements are specifically involved in the request authentication process.

Element	Details
authorization or (as query parameters) access_token authenticationMethod	<p>Accompanies every Environments Provider request unless a SSO standard is leveraged that does <i>not</i> utilize this header.</p> <p>When <i>not</i> using a SSO standard, it is supplied initially in the create Environment request payload as a combination of client initialToken and a pre-agreed “shared secret”, which may correspond to the application itself, or to a particular instance of the application (perhaps one tied to a specific mobile device).</p> <p>For all subsequent requests, its value is calculated from the sessionToken returned in the create Environment response. Depending on the pre-agreed authentication method, this calculation may be done only once or independently performed by the Consumer before each new request.</p> <p>When using an SSO technology that leverages this header or query parameters, these values change in accordance to that standard.</p>
sessionToken	<p>Appears in the payload of a create Environment response, and defines the “session” corresponding to the new Environment object in which all Consumer/Environments Provider interactions will occur.</p> <p>It is an encoded value that incorporates identifying information about the application and optionally the application instance and current user of the application, which together constitute the identity of the registered Consumer.</p> <p>The Consumer when calculating all its subsequent authorization values uses this value.</p> <p>When using a SSO standard this field may be omitted from the environment, unless a profile defines another specific meaning.</p>

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authenticationMethod	<p>The identification of the method used to encode the Consumer's identity and generate the <i>authorization</i>. There are <u>three well understood</u> values for this element::</p> <ul style="list-style-type: none"> • <u>Basic</u> • <u>SIF_HMACSHA256</u> • <u>Bearer</u> <p>Each is explained in further detail below.</p> <p>When using a SSO standard this field reflects that standard, a profile may define another specific value.</p> <p>Note: <u>Given this field has always been free form (in order to accommodate other methods) it is important to treat this field as case insensitive. However case often matters when doing authentication, and the standard or scheme indicated here must be followed.</u></p>
userToken	If the entity being authenticated is specific to a user, or a combination of user and application instance, this contains verifiable user information.
instanceId	If the entity being authenticated is specific to an application instance, this is the application instance ID. It allows an entity with a single shared secret to potentially register itself as multiple uniquely separate Consumers.
applicationInfo/applicationKey or (as a query parameter) applicationKey	<p>This is the application identification and it forms the basis of constructing the initial <i>authorization</i> token which accompanies the Environment create request.</p> <p>It also uniquely identifies the application <u>(not environment)</u> being used, so appropriate access can be known and APPROVED or REJECTED.</p>

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4.1.3 Authentication Methods

The right to issue a request to become a registered Consumer of an Environments Provider should be restricted to a set of previously determined applications. Evaluating additional information (application, device, user, or some combination) provided by the application concerning the actual "entity" making this request may influence whether or not that request is granted.

This is reflected in the value of the *authorization* token accompanying the Environment create request, which is based solely upon the information provided in the Application

Key, coupled with the authentication method (i.e. **Basic**, SIF_HMACSHA256, **Bearer**, etc.) the application is using⁹. SIF 3 has two built-in authentication methods:

Basic - A basic authentication method, which is only considered to be secure when used in conjunction with HTTPS as the “shared secret” information at the heart of the authentication is passed across the network.

SIF_HMACSHA256 - SIF_HMACSHA256 is a secure authentication method that has the advantage that “shared secrets” are never passed across the network.

In SIF usage the *authorization* token is also salted with the current date and time in UTC ISO 8601 format (contained in the timestamp element) so it changes on every request to avoid replay attacks. Since the timestamp **header** is present every **such** request, any time synchronization dependency between the Consumer and the Environments Provider is avoided.

Bearer - Starting with the release of the SIF Infrastructure Specification 3.1 OAuth 2.0 support is baked in to the specification by supporting the exchange of Bearer tokens. A Bearer token is a simple key that grants access until it expires, based on a built in or external an external service conforming to OAuth 2.0¹⁰.

Note: When leveraging OAuth 2.0 the proper capitalization when providing a token in either an Authorization header or authenticationMethod query parameter is “Bearer.” However when returned as the token_type (by the OAuth 2.0 server) it MUST be treated as case insensitive and will often be all lower case.

SSO - When using **other** Single or Same Sign On technologies the chosen standard **must** be followed. If you would like to include an indicator for the SSO standard of your choice, please submit it (along with justification as to why it is the appropriate indicator) so it may be included in the specification. Additionally providing a guidance document for others on how to consistently use the chosen SSO solution is desirable.

In terms of the following illustrative example, assume:

- The agreed upon Application Key of the requesting application is RamseyPortal, and its “shared secret” value with the Environments Provider is *a1b2c398*.
- The Application Instance ID is District7

⁹ For further information on Basic Authentication, please refer to <http://tools.ietf.org/html/rfc2617>. For further information on HMACSHA256, please refer to <http://tools.ietf.org/html/rfc4868>

¹⁰For information specifically on bearer tokens please see <https://tools.ietf.org/html/rfc6750>

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- The name of the Student from District 7 for who this request is actually being made is *Martin.Smith* and his role is Student
- The time at which the request is sent (contained in the message header) is: 2013-06-22T23:52:07

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4.1.4 Authentication Validation: BASIC

In the create Environment request, assuming Basic authentication

Element	Value	Meaning
authenticationMethod	<i>Basic</i>	Basic Authentication
consumerToken	<i>RamseyPortal:a1b2c398</i>	Application Key and shared secret information
authenticationToken	<i>Basic UmFtc2V5UG9ydGFsOmExYjJjMzk4DQo=</i>	The string "Basic " followed by the base64 encoded equivalent of the consumerToken
instanceId	<i>District7</i>	Qualifier to the Application Key. This allows the same application to register itself as a Consumer multiple times without conflict.
userToken	<i>Martin.Smith:Student</i>	Verifiable user information (in this case the un-encoded concatenation of user identification and role)

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Consumer

The *authorization* value is calculated in the following way:

Operation	Example Value
Concatenate the applicationKey and shared secret and separate them by a ":"	<i>RamseyPortal:a1b2c398</i>
Base64 encode and prefix with the authentication method and a space	<i>Basic UmFtc2V5UG9ydGFsOmExYjJjMzk4DQo=</i>

Environments Provider

When the Environments Provider receives this Authorization Token the following sequence of operations should be performed.

Operation	Legality Test
Identify the Authentication Method. (BASIC)	If it has an unexpected value, an irrecoverable incompatibility has been detected.
Base64 decode the Authorization Token to obtain the Application ID and secret code. Lookup the Application Key in the list of pre-stored "authorized applications" to retrieve the pre-stored shared secret.	If there is no entry with an ID matching the supplied Application Key, authentication has failed, because the application is unknown.
Compare the pre-stored secret value with the shared secret decoded from the Authorization Token	If the values do not match then authentication has failed because the claimed known application did not provide the correct shared secret information.
The authentication of the issuing application has been confirmed.	The process to verify authorization for what the authenticated application is requesting now begins.

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4.1.5 Authentication Validation: SIF_HMACSHA256

In the create Environment request, assuming SIF_HMACSHA256 authentication:

Element	Value	Meaning
authenticationMethod	<i>SIF_HMACSHA256</i>	Standard SIF 3 Authentication
applicationKey	<i>RamseyPortal</i>	Application <u>(not environment)</u> ID only. Shared secret information is not sent.
authenticationToken	SIF_HMACSHA256 bmV3OjZUVmdZd2JBaG1RYzJ6QUxkYThad XBmcnpmcVorWEQ3ZjjiTUEwQXpXUm89Cg==)	** See details below
instanceId	<i>District7</i>	Qualifier to the Application Key. This allows the same application to register itself as a Consumer multiple times without conflict.
userToken	<i>Martin.Smith:Student</i>	Verifiable user information (in this case the <u>unencoded</u> concatenation of user identification and role) ¹¹

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¹¹ Since the authentication of User Identity is the HMAC SHA 256 method, the shared information would not generally be present in the userToken.

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Consumer

The *authorization* value is calculated in the following way:

Operation	Example Value
Concatenate the <i>applicationKey</i> and date / time and separate them by a “.”	RamseyPortal:2013-06-22T23:52-07
Calculate the HMAC SHA 256 value using the unsent Client Application shared secret, and then Base64 encode	6TVgYwbAhmQc2zALda8ZupfrzfzqZ+XD7f2bMA0AzWRo=
Combine the <i>applicationKey</i> with this string and separate them by a “.”	RamseyPortal:6TVgYwbAhmQc2zALda8ZupfrzfzqZ+XD7f2bMA0AzWRo=)
Base64 encode the result and prefix with the authentication method and a space	SIF_HMACSHA256 bmV3OjZUVmdZd2JBaG1RYzJ6QUxkYThadXBmcpncVorWEQ3ZjjiTUEwQXpXUm89Cg= =

Environments Provider

When the Environments Provider receives this Authorization Token the following sequence of operations should be performed.

Operation	Associated Logic
Identify the Authentication Method. (SIF_HMACSHA256)	If it has an unexpected value, an irrecoverable incompatibility has been detected.
Base 64 decode to obtain the Application ID and the Base64 value of the HMAC SHA 256 Lookup the Application ID in the list of pre-stored “authorized applications” to retrieve the pre-stored shared secret.	If there is no entry with an ID matching the supplied Application ID, authentication has failed, because the application is unknown.
Retrieve the Current Date and Time value that was used from the message header.	If the Date / Time value is not “reasonably current”, this may indicate the create Environment request was generated as part of a “replay” attack. In that case the request should be rejected.
Use the Application ID and date / time and calculate the	If the values do not match then authentication has

SIF_HMACSHA256 value using the shared secret for this Application.	failed because the claimed known application did not use the correct shared secret information in generating the SIF_HMACSHA256.
Compare the result with the string provided by the Consumer.	
The authentication of the issuing application has been confirmed.	<p>The process to verify authorization of the authenticated Environment "Consumer" for the service operations it is requesting now begins.</p> <p>From this point on, the above process repeats, using the previous sessionToken as the basis for calculating the new <i>authorization</i> value</p>

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4.1.6 Client Certificates: Best Practices

Many sites which utilize Client Certificates already have administrative procedures in place for managing application-specific certificates which are roughly equivalent to those needed to manage application-specific shared secrets. For those sites, adding SIF authentication should:

- Not require any additional administrative overhead (shared secret management IS certificate management)
- Leverage rather than duplicate Client Certificate security functionality
- Not introduce significant overhead to daily operations

The following administrative "best practices" are suggested for achieving these goals:

- Use Basic Authentication to minimize the unneeded additional security functionality provided by SIF_HMACSHA256.
- In cases where they are unique to the Certificate Authority, use the serial number of the Client Certificate as the basis for the application's shared secret.

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4.2 Session Creation

Once the application issuing the create Environment request has been authenticated, additional arguments in the body of the request must be examined to establish the unique identity of the new Consumer, and determine whether that Consumer should be granted a session and provided with an Environment.

The following sequence of operations assumes the Environments Provider supports an active "session table" in which the Consumer identity is maintained, and that no two active sessions can have the same Consumer identity.

Operation	Legality Testing
Compute the "Application Index" (unique identifier for requesting application instance).	If there was an <i>instanceId</i> specified in the <i>create</i> Environment request, combine it with the <i>applicationKey</i> to form the Application Index. Otherwise set the Application Index equal to the <i>applicationKey</i> .
Verify any supplied <i>userToken</i> . User identity may take a variety of formats (LDAP, UUID, OPENID, email address) within this key, each may be coupled with verification information (data, algorithm etc.). The method, which the Consumer and Environments Provider used to "pre-agree" on the <i>userToken</i> format, is outside the scope of this specification.	If no <i>userToken</i> was specified, create the Session ID based upon the Application Index. Otherwise, if verification fails, then the <i>create</i> Environment request must be rejected, because the identity of the user for which the session is being requested cannot be authenticated. Once the supplied User ID has been verified, combine it with the Application ID and create the Session ID. The actual value of this ID must encompass or index all information relative to the Consumer that makes that Consumer "unique."
Construct the <i>sessionToken</i> by taking the Base64 encoded value of the Session ID. ex:UmFtc2V5UG9ydGFsOmExYjJjMzk4LU1hcnRpbj5TbWl0aDpib3l3b25kZXIxMg==	Authentication of the requester is complete.
Use the new <i>sessionToken</i> , the <i>create</i> Environment payload data and any associated pre-authorization rights for the identified Consumer, to construct the new Environment.	Return the Environment to the successfully registered Consumer.

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4.2.1 Generating subsequent Authorization Tokens

The returned *sessionToken* will be used as the basis for calculating the *authorization* token which the Consumer must generate and include as part of each subsequent request. Effectively the returned *sessionToken* replaces the "applicationKey" in the algorithm for the calculation/generation of the authorization token between a Consumer and its Environment.

Whatever method was used by the Consumer to construct the original *authorization* token and by the Environments Provider to verify it **should** still be used.

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When utilize a SSO standard token (or other credentials) should be generated and renewed in the manner defined by that standard.

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4.3 Overview of Basic Operations

The *environments* Service maintains the list of the individual Consumer Environments assigned to the various Consumers and Service Providers which interact together through the underlying Environments Provider implementation. However as noted, only the *create* method is available to non-administrative Consumers. There is no non-administrative way to *query* the *environments* service for information about all existing Environment Entries.¹²

Once created, the only non-administrative Consumer which can access or delete an *environment* is the Consumer which successfully created it.

Operation	Description
Create	Create a Consumer Environment which will allow the Consumer (a combination of client application, application instance and user running the client application) to access the Infrastructure Services it has been assigned, and issue other Service operations it is authorized for.
Update	Not accessible in the <i>environments</i> Service Consumer API.
Delete	Not accessible in the <i>environments</i> Service Consumer API.
Query	Not accessible in the <i>environments</i> Service Consumer API.

4.3.1 Create Environment

This request creates a unique Environment object for the Consumer, and is the only request that will be issued to a URL (Infrastructure Service or otherwise), which is not contained in or derived from content within that environment. The *create* operation payload provides all necessary information to allow the *environments* service to

¹² The actual implementation of the *environments* Service may support one or more of the *query*, *update* and *delete* entry operations for Administrative purposes, but they are not included as part of its required SIF 3 Provider interface.

understand the application's protocol and security requirements, and basic expectations for interoperability.

If successful, the application is a Registered Consumer and has an environment containing:

- A collection of URLs corresponding to the set of Infrastructure Services that support its environment.
- Its pre-provisioning authorization rights, on a service request by request basis
- A unique *sessionToken* which it must use to construct the *authorization* token included in all subsequent Service Provider requests
- An assigned default Zone (typically pre-assigned by the Administrator) which the Consumer should use to scope all subsequent Service Provider requests in cases where the Zone is not explicitly specified.

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Use Case Components	Details
Actors	External Application <i>consumerEnvironments</i> Service Zone Administrator
Preconditions	The Site Administrator has previously approved this application for admission into one or more Zones, and this fact is known to the <i>environments</i> Service, or the Site Security Policy allows any authenticated application to register (but not necessarily to be provisioned) A "create" request has been issued to the <i>environments</i> Service
Actions	Upon reception of the <i>create</i> request, the <i>environments</i> Service: <ul style="list-style-type: none"> • Verifies that the indicated transport is supported by the Environments Provider and the infrastructure version number is supported. If not, either reject or (where the request was unintelligible) ignore it. • Authenticate the provided information (Application and/or User) and ensure the Application Instance is unique. If that authorization fails, reject the <i>create</i> Environment Request. • The Consumer is now authenticated, although it is not yet provisioned. Compute and assign a <i>sessionToken</i> to the newly registered Consumer (which it will use as the basis of the <i>Authorization</i> field value sent in all subsequent requests) or follow your chosen

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	<p>SSO specification.</p> <ul style="list-style-type: none"> • Create the Consumer Environment; inserting the URLs of the Infrastructure Services that it is authorized to access: its Session Token, the provided Application Instance and User IDs, the Authentication Method (BASIC or HMACSHA256) and other supplied application data. • If the Environment supports a security policy that is based on pre-provisioning, fill in the service access rights of the new Consumer. This results in the Consumer being both Registered (authenticated) and Provisioned (authorized) for the set of Service operations it can initially Request and subscribe to.¹³ • Return the contents of the Consumer's Environment Entry
Post Conditions	The issuer is a Registered Consumer of the Environment. Depending on site security policy, anywhere from zero to a full complement of authorized operations may be pre-allocated.

The following elements are specified in the payload of the *create* Environment Request operation.

Note: An environment can be generated without a payload or **may** be generated automatically when making a data request, by supplying the mandatory fields as headers or query parameters (of the same name). If the environment was generated automatically the response **must** include the environmentURI so the consumer **may** read its environment in a later request.

Element / @Attribute	Ch	Description	Type
<i>consumerName</i>	O	A descriptive name for the Consumer that will be readily identifiable to Zone Administrators if the <i>create</i> operation is successful.	xs:normalizedString
<i>solutionId</i>	O	The "solution" the Application would like to participate in. This is optional only, is advisory, and may be ignored by the Administrator. If processed it may be reflected in the URLs of the infrastructure services, which are provided in the <i>consumerEnvironment</i> .	xs:token. Typical values might be: <ul style="list-style-type: none"> • production • staging • testing

¹³ There is an important distinction for Subscription provisioning, in that a Consumer may be pre-provisioned to be able to receive Events from a specific type of Service Provider, but it will not actually take effect (Events will not arrive) until the Consumer explicitly subscribes to that Service by supplying an eventSubscription queue for receiving these Events.

<i>applicationInfo/applicationKey</i>	M	An opaque (to the SIF standard) element that contains any required application authentication information. The authorization level assigned to a specific value of this element is site-specific. If not specified during the creation process and BASIC or SIF_HMACSHA256 is employed, the value may be extracted from the authorization token.	xs:token
<i>userToken</i>	O	An opaque (to the SIF standard) element, which contains any, required (and verifiable) user information. For example, in a Direct Architecture which accepts Consumer Registration Requests from a mobile application, this element might contain a combination of the owners of the mobile device ID and role.	xs:any
<i>instanceId</i>	O	The particular instance of the application seeking to register as a Consumer. It might represent a grouping as diverse as a category of end users or the serial number of a mobile device.	xs:token
<i>authenticationMethod</i>	M	Defines the method by which the Consumer registration request will be authenticated by the service. If not specified during the creation process, reflect the method used to create the environment. Note: <u>Given this field has always been free form (in order to accommodate other methods) it is important to treat this field as case insensitive. However case often matters when doing authentication, and the standard or scheme indicated here must be followed.</u>	Values defined in this document (also may be a SSO specific value): <ul style="list-style-type: none"> • <i>Basic</i> • <i>SIF_HMACSHA256</i> • <i>Bearer</i>
<i>supportedInfrastructureVersion</i>	O	The version of the SIF infrastructure that the Consumer supports. If not specified, assume the latest.	versionType (see Appendix D)
<i>dataModelNamespace</i>	O	The data model (usually including a version) that	URI

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		<p>this environment is configured to produce.</p> <p>If not specified, may be observed from the objects generated by this consumer if any.</p> <p>Note: In solutions where the same zone and context support multiple data models this may be needed to disambiguate between services of the same name.</p>	
transport	O	The transport that the Consumer expects the infrastructure to use to interoperate with it.	<p>One of:</p> <ul style="list-style-type: none"> • REST • SOAP¹⁴ <p><i>The default is whichever transport the create request was issued on</i></p>
applicationProduct	O	Details about the vendor, product and version of the application registering as a Service Consumer	productIdentityType (see Appendix D)
adapterProduct	O	If the application is utilizing a separate and distinct Adapter product, this element contains optional vendor details about that product which the Consumer might wish to make known to Zone Administrators.	productIdentityType (see Appendix D)

Example:

The following XML instance fragment illustrates the formats of the basic elements contained in a *create* Request sent to the *environments* Service.

```
<solutionId>staging</solutionId>
<authenticationMethod>Basic</authenticationMethod>
<instanceId>District7</instanceId>
<userToken>Martin.Smith:Student</userToken>
<consumerName>DistrictPortal</consumerName>
<applicationInfo>
  <applicationKey>RamseyPortal</applicationKey>
  <supportedInfrastructureVersion>3.2.1</supportedInfrastructureVersion>
```

¹⁴ Currently unsupported in SIF 3.

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```
<dataModelNamespace>http://www.sifassociation.org/datamodel/na/3.3</dataModelNamespace>
<transport>REST</transport>
<applicationProduct>
  <vendorName>Ramsey Software</vendorName>
  <productName>Students-R-Us</productName>
  <productVersion>1.5</productVersion>
</applicationProduct>
</applicationInfo>
```

4.3.2 Create Environment Response

The response to a create Environment request is identical to that for any other Request.

4.3.2.1 Success

A successful Response to the *create* Environment Request contains an HTTP Response Status of 201 (Created) and the following additional elements and/or attributes in the returned Environment:

Element / @Attribute	Ch	Description	Type
<i>environment/sessionToken</i>	M	The value of this opaque element will be used by the Consumer in constructing the <i>authorization</i> token that must accompany every subsequent request made to the Environments Provider	xs:token
<i>@id</i>	M	The environment ID associated with newly created environment.	xs:token
<i>@type</i>	M	The environment type.	<ul style="list-style-type: none"> DIRECT BROKERED
<i>fingerprint</i>	MC	<u>Unique identity of the environment that can safely be shared with other consumers.</u> <u>Must be returned, if the Environment Provider supports Functional Service events.</u>	xs:token
<i>Other</i>		There are a number of other elements included. They are discussed in section 5.2.3 and 5.2.4.	

Example:

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The following XML instance fragment illustrates the formats of the basic elements contained in the *create* Response. In this implementation the *applicationKey* is not embedded in the *environment* URL (implying security is obtained in other ways).

```
<environment id="RaleighRidgeDistrict-Test" type="DIRECT">  
  <sessionToken>  
    UmFtc2V5UG9ydGFsOmExYjJjMzk4LU1hcnRpbj5TbW10aDpib313b25kZXIxMg==  
  </sessionToken>  
</environment>
```

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5. Consumer Environment

The Consumer Environment Service whose URL is returned in response to a successful create Environment request is the gateway to all other services. It scopes the Consumer's possible interactions with the infrastructure and any Provider Services accessible from it. The Environment Service provides the set of (possibly customized) information the Application needs to interoperate successfully, including:

- Environment Name and level of API supported (Direct or Brokered)
- URLs to the full set of Infrastructure Services supporting this Environment
- The name of the application's default Zone in the Environment
- One or more elements related to the functionality provided to the Consumer within this Environment..
- The full set of authorized operations on all services with specified contexts in specified zones
- The set of active Events actually subscribed to and (Brokered Architectures only) Object Service types provided by this Consumer.

5.1 Overview of Basic Operations

All contents of the Environment Service Entry are immutable. They cannot be changed by the Consumer, although the Consumer should delete the entry in its entirety as the final step in the *unregister* process.

Operation	Description
Create	Not available. The registering application utilizes the <i>Environments</i> Service to create a Consumer Environment as described above.
Update	Not accessible in the Service Consumer API. A Consumer cannot directly modify the contents of its Environment entry and must use the <i>provisionRequests</i> Service for that purpose.
Delete	Issued by the Consumer to unregister itself from its Environment
Query	Returns the Environment object for this Consumer.

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As is true for all operations from this point, both the Environment *delete* and *query* operations require the *authorization* token as a parameter. The *environment* Service does not issue Events.

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5.2 Query

The Consumer must acquire the information contained in its *environment* object to allow it to function. The following elements are returned in response to a *query* Request, divided into the following conceptual sections.

5.2.1 Global Elements

This collection of sub-elements contains data global to the remaining sections.

Element / @Attribute	Ch	Description	Type
environment		.	
@id	MUI	Identification of the Environment	UUID
@type	M	The type of Environment. This indicates whether certain functionality is optional or mandatory	One of: <ul style="list-style-type: none"> <i>DIRECT</i> <i>BROKERED</i>
solutionId	O	The "solution" the Application is participating in.	xs:token. Typical values might be: <ul style="list-style-type: none"> production staging testing
defaultZone	M	Identification of the default Zone, which is one of the defined Zones in the Consumer Environment's Zone Registry (ex: " <i>Suffolk Middle School</i> ") and whose ID value will be used as the Zone element for all Consumer Requests and Subscription provisioning whenever the Consumer does not explicitly provide a Zone qualifier.	zoneType

<i>authenticationMethod</i>	M	Defines the way in which the <i>authorization</i> value can be used to enforce security.	xs:token
<i>userToken</i>	O	Contains any required user information. Note: When this field was conceived it was for conveying additional credentials during environment creation. It should not be used to persist or echo credentials without first consulting a security expert.	xs:any
<i>consumerName</i>	O	A descriptive name for the application	xs:normalizedString (max length 64)

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Example:

The following XML instance fragment illustrates the formats of these global elements contained in a Direct Consumer Environment (with the other sections stubbed), all of which are returned to the Consumer after a successful Query

```
<environment id="3ab1749c-2785-11e6-b67b-9e71128cae77" type="DIRECT">
  <solutionId>staging</solutionId>
  <defaultZone id="SuffolkMiddleSchool"/>
  <authenticationMethod>Basic</authenticationMethod>

  <userToken>MmZkMjVmODItYjAxMC00NTFiLWFjZTEtNmRjNTI4MwYwZjdlOmhpdHNAbnNpcA==</userToken>
  <consumerName>RafflesLMS</consumerName>
  <authorization>76e34b359d75141a8c3d00aa001ad45ef</authorization>
  <applicationInfo> ... </applicationInfo>
  <infrastructureServices> ... </infrastructureServices>
  <provisionedZones> ... </provisionedZones>
</environment>
```

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5.2.2 Application-specific Information

The application specific elements are shown below, and reflect in large measure the application information provided when the environment was originally created.

Element / @Attribute	Ch	Description	Type
<i>applicationInfo</i>			
applicationInfo/applicationKey	M	Uniquely identifies the Consumer application (not environment), and is the basis for initial	xs:token

		authentication.	
applicationInfo/instanceId	O	This element qualifies the <i>applicationKey</i> so that the same authorized application software can be involved in multiple simultaneous sessions with the same Environments Provider. It might contain the Device ID of where a particular application instance is executing, or it might indicate a virtual "grouping" of users accessing the set of object services reachable through the Environment (ex: Middle School Students).	xs:token
applicationInfo/supportedInfrastructureVersion	M	The version of the SIF infrastructure which the Consumer will support. This could be a wildcard, and may be different from the one used in the message header.	versionType (see Appendix D)
applicationInfo/dataModelNamespace	O	The URI that uniquely identifies the Namespace of the data model which the application supports. Note: In solutions where the same zone and context support multiple data models this may be needed to disambiguate between services of the same name.	xs:anyURI
applicationInfo/transport	O	The transport which the Consumer supports.	One of: <ul style="list-style-type: none"> • SOAP¹⁵ • REST
applicationInfo/applicationProduct	O	Details about the vendor, product	productIdentityType

¹⁵ Currently unsupported in SIF 3.

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		and version of the application registering as a Service Consumer	(see Appendix D)
applicationInfo/adapterProduct	O	If the application is utilizing a separate and distinct Adapter product, this element contains optional vendor details about that product which the Consumer might wish to make known to Zone Administrators.	productIdentityType (see Appendix D)

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Example:

The following XML instance fragment is an example of the applicationInfo elements contained in a Direct Architecture, and returned to the Consumer after a successful *environment* Query.

```
<applicationInfo>
  <applicationKey>d3e34b359d75141a8c3d00aa001a1652</applicationKey>
  <supportedInfrastructureVersion>3.0</supportedInfrastructureVersion>
  <dataModelNamespace>
    http://www.sifassociation.org/datamodel/na/3.3
  </dataModelNamespace>
  <transport>REST</transport>
  <applicationProduct>
    <vendorName>RamseySoftware</vendorName>
    <productName>Students-R-Us</productName>
    <productVersion>1.5</productVersion>
  </applicationProduct>
</applicationInfo>
```

5.2.3 Infrastructure Service Assignment

This section contains the URLs of the common set of Infrastructure Services that are available to the Consumer.

Note that the *environments* service is not a member of this group, which means that a given, non-administrative Consumer cannot discover any other Consumers or see their Environments or learn about their provisioning. This limitation is imposed for security reasons.

Cross-consumer interactions do occur when one Consumer requests a change (*create*, *update* or *delete*) that is accepted by a Service Provider. The resulting published Event is

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visible to and received by all those Consumers who are subscribed to receive Events from that Service Provider.

Element / @Attribute	Ch	Description	Type
<i>infrastructureServices</i>	M	The collection of Infrastructure Services which together define the Consumer's Environment.	
<i>infrastructureServices</i> <i>/infrastructureService</i>	RM	There must be an <i>infrastructureService</i> element present for <u>each</u> defined Infrastructure Service. Each <i>infrastructureService</i> <i>name</i> attribute must equal one of the names defined in the <i>infrastructureServiceNameType</i> enumerated list (see Appendix D). The value of each <i>infrastructureService</i> Property <i>value</i> sub element defines the URL location of that Infrastructure Service.	<i>propertyType</i> (see Appendix D).

Example: All Infrastructure Service URLs were assigned relative to the URL of the *environments* which implies they are assigned to each individual *environment* created. This represents a common convention that may not be applicable in all situations (as for example when a new Infrastructure Service instance is being tested or phased in, a Consumer at a time). In this case the Consumer is cleared to be a Provider, and has been given access to the *eventsConnector* as well as the *requestsConnector*.

<infrastructureServices>

<!--The presence of the following two Services are mandatory for any Consumer Environment -->

```
<infrastructureService name="environment">
  https://www.raleighridge.nc.edu/sif3.0/staging/environments/1234567
</infrastructureService>
<infrastructureService name="requestsConnector">
  https://www.raleighridge.nc.edu/sif3.0/staging/requestsconnector
</infrastructureService>
```

<!--These two Services support the reception of delayed Responses and asynchronous Events. They are mandatory for all Brokered Architectures -->

```
<infrastructureService name="queues">
  https://www.raleighridge.nc.edu/sif3.0/staging/queues
</infrastructureService>
<infrastructureService name="subscriptions">
  https://www.raleighridge.nc.edu/sif3.0/staging/subscriptions
</infrastructureService>
```

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```

<!--This Service is necessary to support self-configuring Consumers -->
<infrastructureService name="provisionRequests">
  https://www.raleighridge.nc.edu/sif3.0/staging/provisionRequests
</infrastructureService>

<!--After provisioning itself as a Provider, this service allows a Consumer to
post Events. It is mandatory for all Brokered Architectures and never included
in a Direct Architecture. -->
<infrastructureService name="eventsConnector">
  https://www.raleighridge.nc.edu/sif3.0/staging/eventsconnector
</infrastructureService>
</infrastructureServices>

```

5.2.4 Service Provisioning

This section describes the extent of the current state of provisioning of the Consumer to all non-Infrastructure (Utility, Data Object and Functional) Service Providers. These Service access rights are grouped by Zone, and so the top-level element is *provisionedZones*, which collects one or more *provisionedZone* elements with the structure shown below.

The possible setting for each Consumer access right is one of the following:

- **UNSUPPORTED:** The Provider does not support this operation, at least for this type of Consumer. The corresponding Request cannot be made.
- **SUPPORTED:** The Provider supports this operation, but the authorization to issue it has not been requested by this Consumer (and whether such authorization would be granted is unknown). A Consumer request of this operation from the Provider might succeed or fail. "Supported" is the default value for any Service operation not contained in this section of the Consumer's *environment*.
- **REJECTED:** The Provider supports this operation, but the Consumer does not have and will not be granted authorization to perform this operation. This status may be the result of pre-provisioning or set in response to a specific Consumer provisioning request.
- **APPROVED:** The Consumer has the authorization to perform this operation on this Service in this Zone.

The type of each possible Consumer access right is one of the following:

- **QUERY:** The ability to Query this Service directly and subscribe to its Events.

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- **CREATE:** The ability to Create new Service Objects
- **DELETE:** The ability to delete Service Objects
- **UPDATE:** The ability to update Service Objects
- **SUBSCRIBE:** The ability to subscribe to Object Events from this Service
- **PROVIDE:** The ability to provide this Service
- **ADMIN:** The ability to access this Service in non-standard ways.¹⁶

If an Environment supports self-provisioning Consumers (i.e. Consumers who first determine if they are authorized to use a resource before actually attempting to use it) than either this section must initially contain all the rights the Consumer has to all Services (both Data Object and Utility) in all Zones, or the *provisionRequests* Infrastructure Service must be present in the Consumer's Environment to allow additional rights to be obtained.

The actual elements comprising this section are shown in the table below:

Element / @Attribute	Ch	Description	Type
<i>provisionedZone</i>	MR	Contains the list of Services and associated provisioning available to the Consumer in the Zone	
<i>provisionedZone@id</i>	M	The unique id of the Zone, and the key to the Zone element in the Zone Registry. This is the value that is sent as the "owning" Zone of any Service contained within this element, when a Request for that Service is issued to the <i>requestsConnector</i> .	xs:token All Utility Services are contained in the Zone with the ID of " <i>environment-global</i> "
<i>provisionedZone/services</i>		Collection of Services provisioned in this Zone	
<i>provisionedZone/services/service</i>	OR	The record of the specific Service provisioning of this Consumer in this Zone	
<i>provisionedZone/services/service</i>	M	The type of the Service	One of:

¹⁶ This right is generally reserved for administrative applications and is meant as a way to provide administrator control over a deployed SIF 3.0 solution. The specifics of what this means for a given Service is outside the scope of the specification.

<i>rv</i> @type			<ul style="list-style-type: none"> UTILITY OBJECT FUNCTION SERVICEPATH XQUERYTEMPLATE
<i>provisionedZone/services/se</i> <i>rv</i> @name	M	<p>The name of the Service as it will appear in a request to that Provider. For utilities, this is fixed to one of the defined set of Utility Service Names. For objects and functions, it is defined by the Data Model.</p> <p>The value of this element may optionally be a Service Path¹⁷ of the form:</p> <p style="text-align: center;"><i>students/{}sections</i></p> <p>as well as a Service Name (ex: <i>sections</i>). Although Queries to either will return Section objects, in the case of the Service Path, the only "right" which may be granted to the Consumer is "QUERY".</p>	xs:token
<i>provisionedZone/services/se</i> <i>rv</i> @contextId	O	<p>The unique identity of a <i>context</i> element, which is associated with a Provider of this name and type operating in a Zone with this ID.</p> <p>All Services with the same name in the same Zone must have different Context IDs. Only one such Service can have "DEFAULT"</p>	<p>xs:token</p> <p>If not specified, a platform mapping may substitute the value "DEFAULT" here.</p>
<i>provisionedZone/services/se</i> <i>rv</i> @rights	M	The collection of access rights granted to the Consumer for this Service as a result of pre-provisioning or the creation of provisionRequests containing assertions about the additional rights needed.	
<i>provisionedZone/services/se</i> <i>rv</i> @rights/right	MR	A specific Access Right to the owning service	<p>One of:</p> <ul style="list-style-type: none"> UNSUPPORTED SUPPORTED

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¹⁷ Refer to the Service Path section in the Base Architecture document for further details.

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			<ul style="list-style-type: none"> • REJECTED • APPROVED
<i>provisionedZone/services/service/rights/right@type</i>	M	The type of the Access Right	One of: <ul style="list-style-type: none"> • QUERY • CREATE • DELETE • UPDATE • SUBSCRIBE • PROVIDE • ADMIN

Example: A Consumer is currently authorized to access both the Alert Log Utility Service and a Student Object Provider in the Suffolk Middle School Zone. The current provisioning indicates:

- **Alerts:** The Consumer can only create Alerts (and in fact Query, Update and Delete Alerts are not available to non-admin Consumers in this Environment). The Consumer has been specifically prevented from subscribing to Alert Events, even before creating a *provisionRequest* to do so.
- **Students:** The Consumer can Query a Student, but whether it can also Create or Update a Student has not yet been determined, and the Consumer has not asked. The Consumer is explicitly forbidden from deleting a Student. It has been granted permission to Subscribe to Student Events and in fact may already have done so.

```

<provisionedZones>
  <provisionedZone id="environment-global">
    <services>
      <service type="utility" name="alerts" contextId="DEFAULT">
        <rights>
          <right type="QUERY">UNSUPPORTED</right>
          <right type="CREATE">APPROVED</right>
          <right type="UPDATE">UNSUPPORTED</right>
          <right type="DELETE">UNSUPPORTED</right>
          <right type="SUBSCRIBE">REJECTED</right>
        </rights>
      </service>
    </services>
  </provisionedZone>
  <provisionedZone id="SuffolkMiddleSchool">
    <services>

```

```
<service type="OBJECT" name="students" contextId="DEFAULT">
  <rights>
    <right type="QUERY">APPROVED</right>
    <right type="CREATE">SUPPORTED</right>
    <right type="UPDATE">SUPPORTED</right>
    <right type="DELETE">REJECTED</right>
    <right type="SUBSCRIBE">APPROVED</right>
    <right type="PROVIDE">REJECTED</right>
  </rights>
</service>
</services>
</provisionedZone>
</provisionedZones>
```

5.3 Delete

The Delete Environment Request is the last operation a Consumer issues when removing itself from contact with the Environments Provider Service. If the Consumer is also the Provider of one or more Object or Function Services, the well-behaved (but not mandatory) exit sequence involves, for each such service provided:

- Delete the corresponding *provider* entry which had been previously allocated when the Consumer declared itself a Provider of this Service. This will remove this Service from the Provider Registry and stop any further Consumer Requests to this Service from entering the corresponding Queue previously set up to receive them.
- Ensure all Requests currently in the Request Queue for this Provider are completely serviced.

When the deletion is completed, all Consumer resources are freed and the Consumer's *authorization* token is invalid.

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6. Provision Requests

The Consumer's Environment Service only supports Query operations. The *provisionRequests* service allows a Consumer to create a *provisionRequest* to modify the contents of its *environment* in a controlled manner.

The following types of changes can be made as a result of a successful *provisionRequest*, and each change will be reflected in the *environment* the next time it is queried.

- Extending the current set of authorized per-Zone / per-Context / per-Service rights.

6.1 Data Elements

In many ways, the payload of a *provisionRequest* create operation resembles what the payload of a limited update operation to an *environment* might look like. It contains a subset of *environment* elements required to acquire or enhance new functionality or resources for the issuing Consumer.

These elements are confined to the *queues* and *provisioned Zones* elements in the *environment*.

Element / @Attribute	Ch	Description	Type
<i>provisionRequest</i>			
<i>provisionRequest@completionStatus</i>	O	The completion status of the assertions and requests for additional resources made in this <i>provisionRequest</i> . This attribute is omitted on creation, and is only present when the <i>provisionRequest</i> is queried successfully. A "MIXED" status indicates one or more assertions failed to be granted. A REJECTED indicates all assertions were rejected. In the case of a single assertion (ex: Subscribe to Service X) the final status will be either ACCEPTED or REJECTED.	One of: <ul style="list-style-type: none"> • ACCEPTED • MIXED • REJECTED
<i>provisionedZones</i>	O	Contains the list of per Service provisioning requests. Whether successful or not, the results of any additional Service rights assertions (ACCEPTED or REJECTED) will be merged into the existing set currently found in the <i>environment</i> .	

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<i>provisionedZones/provisionedZone</i>	OR	A specific Zone defined for this <i>environment</i> .	
<i>provisionedZones/provisionedZone@id</i>	M	The unique id of the Zone, and the key to the Zone element in the Zone Registry. .	xs:token All Utility Services are contained in the Zone with the ID of "environment-global"
<i>provisionedZone/services</i>		Collection of Services within this Zone for which additional provisioning is desired	
<i>provisionedZone/services/service</i>	OR	The record of a specific Service for which provisioning is being extended	
<i>provisionedZone/services/service@type</i>	M	The type of the Service	One of: <ul style="list-style-type: none"> • UTILITY • OBJECT • FUNCTION • SERVICEPATH • XQUERYTEMPLATE
<i>provisionedZone/services/service@name</i>	M	The name of the Service as it will appear in a request to that Provider. For utilities, this is fixed to one of the defined set of Utility Service Names. For objects and functions, it is defined by the Data Model. The value of this element may optionally be a Service Path of the form: <i>students/{}sections</i> as well as a Service Name (ex: <i>sections</i>). Although Queries to either will return Section objects, in the case of the Service Path, the only "right" which may be granted to the Consumer is "QUERY".	xs:token
<i>provisionedZone/services/service@contextId</i>	O	The unique identity of a <i>context</i> element, which is associated with a Provider of this name and type operating in a Zone with this ID. All Services with the same name in the same Zone must have different Context IDs. Only one such Service can have "no Context"	xs:token If not specified, a platform mapping may substitute the value "DEFAULT" here.

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<i>provisionedZone/services/service/rights</i>	M	The collection of additional access rights being asserted	
<i>provisionedZone/services/service/rights/right</i>	MR	A specific Right assertion to issue the specified Request type to the owning service. When the authorizations contained in this provisionRequest are resolved, the equivalent value of this element will be either "ACCEPTED" or "REJECTED".	The only correct value is REQUEST
<i>provisionedZone/services/service/rights/right@type</i>	M	The type of the requested Access Right. Note that if Query is authorized, the Consumer may also subscribe to Events.	One of: QUERY CREATE DELETE UPDATE SUBSCRIBE PROVIDE

6.2 Overview of Basic Operations

The basic operations are split between the following two services.

6.2.1 provisionRequests

The provisionRequests service supports the following operations

Operation	Description
Create	This creates a <i>provisionRequest</i> whose URL is returned to the issuing Consumer.

Example: The Consumer creates a *provisionRequest* to assert create and update privileges on the identified Student object.

```
<provisionRequest>
  <provisionedZone id="SuffolkMiddleSchool">
    <services>
      <service type="OBJECT" name="students" contextId="DEFAULT">
        <rights>
          <right type="CREATE">REQUESTED</right>
        </rights>
      </service>
    </services>
  </provisionedZone>
</provisionRequest>
```

```

    <right type="UPDATE">REQUESTED</right>
  </rights>
</service>
</services>
</provisionedZone>
</provisionRequest>

```

6.2.2 provisionRequest

The provisionRequest service supports the following operations.

Operation	Description
Query	Provides the status of each assertion or resource allocation in a completed provisionRequest after it has been serviced. The changes are also reflected in the Consumer's <i>environment</i> .
Delete	The provisionRequest object is normally deleted by the Consumer after it has been queried successfully

Example: The above *provisionRequest* payload as returned by the following Query. The ability to update a Student was granted and the ability to create a student was rejected.

Note that the completionStatus is now present (MIXED), both new Queue Requests have a filled in *queueUri* so they can be accessed, and the *ACCEPTED* and *REJECTED* access right status now accompanies the original assertion request.

```

<provisionRequest completionStatus="MIXED">
  <provisionedZone id="SuffolkMiddleSchool">
    <services>
      <service type="OBJECT" name="students" contextId="DEFAULT">
        <rights>
          <right type="CREATE">REJECTED</right>
          <right type="UPDATE">ACCEPTED</right>
        </rights>
      </service>
    </services>
  </provisionedZone>
</provisionRequest>

```

6.3 Lazy Authorization

A *lazy authorization* policy is one where the Site Administrator pre-determines only what authenticated applications will be registered as Consumers and where they will be deployed (ex: into a Test or a Production Environment). The details of what Provider Service operations

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each application will be authorized to utilize are determined only at the time the Consumer first attempts to create its *environment*.

In such cases, the initial *environment* created for most new Consumers will contain a partly or totally empty *provisionedZones* element. In order to provision themselves adequately, Consumers will then need to issue one or more successful *provisionRequest* create operations. Each one of these requests will then have to be reviewed by administrative personnel and manually approved or rejected. This introduces a potentially very long lag between the time the *provisionRequest* is created, and the time it is executed, and the results can be viewed.

To handle this use case, extending a Consumer's authorization rights has been made into a three stage process. When the *create* request is issued to the *provisionRequests* Service, a new *provisionrequest* is created, and its URL is returned.

The Consumer then must query the *provisionRequest*. Since possibly long processing delays can occur at this point, an acceptable Response to this query would be an HTTP Return Code of 202 indicating that the query has been "accepted", but cannot as yet be completed. This requires the Consumer to reissue this identical query at some later time, and to keep repeating this action (perhaps at 5 minute intervals) until an HTTP Return Code of 200 is received, which will be accompanied by a data payload containing the completed *provisionRequest* with all assertions resolved.

The Consumer can examine the returned *provisionRequest* and adjust its expectations accordingly. All indicated results will also be reflected in the *environment* (the new default Zone ID and / or the acceptance or rejection of each authorization rights assertion). The sequence concludes when the Consumer deletes the *provisionRequest*.

The two possible Site Authorization strategies are illustrated in the table below.

Site Strategy	Meaning	Consumer Actions
Pre-allocation of Consumer Authentication and Authorization	The Consumer Environment was immediately created upon registration, and is pre-populated with all the rights to the Provider Services, which the Consumer can use. The status of all Rights Assertions is <i>APPROVED</i> .	The Consumer can either Query its <i>environment</i> to determine the Zones and Contexts of those Service Providers, which it can access, or it can be prewired with this knowledge. In either case it can begin making Requests of the Providers it was pre-authorized to access.
Pre-allocation	The Consumer Environment was	The Consumer needs to request some or all of the

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<p>of Consumer Authentication only (lazy authorization)</p>	<p>immediately created upon registration, but the <i>provisionedZones</i> section is either empty or only partially filled with granted Service Provider rights.</p> <p>The Administrator will be informed when the Consumer makes any additional authorization assertions via creating a <i>provisionrequest</i>, and a manual go / no go decision will be made at that time.</p>	<p>authorization rights it needs. This information can either be prewired into the Consumer, or it can search the Provider Registry for those Provider Services it requires (possibly using its default Zone ID as a qualifier).</p> <p>In either case, once it obtains the set of Providers and determines the "rights" it needs to assert, it creates a <i>provisionRequest</i>, and then issues a <i>Query</i> and keeps doing so until the <i>provisionRequest</i> is returned.</p> <p>If the <i>provisionRequest</i> status was successful, all the authorization assertions of the Consumer are approved and the Consumer should delete the <i>provisionRequest</i> and begin issuing Provider Requests through the <i>requestsConnector</i>.</p> <p>If the status was failure or partial failure, the Consumer should examine the <i>provisionRequest</i> to see the current status of its authorization, and adjust its behavior accordingly (or exit after creating an Alert).</p>
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7. Requests Connector

A Consumer accesses every Infrastructure Service through its individual URL, provided within the Consumer environment when the Consumer originally registered. For access to all other services (Utility and Object) the Consumer uses the URL of the Requests Connector Infrastructure Service.

This URL provides the “pipe” into which all Consumer Requests are entered, and the *requestsConnector* owns securely and efficiently routing every entered request (and its response) to the assigned Queue of its correct destination.

7.1 Overview of Basic Operations

When a Service Consumer wants to invoke a method on any non-Infrastructure Service Provider (including a Utility Service), it does so by invoking the parallel method on the URL supplied by the *requestsConnector* Service (allocated within its *environment* at the time when it first registered as a Consumer). For example, to invoke the *create* operation on a specified Object Service, the Consumer invokes the identical *create* operation on the Requests Connector Service.

Specifically, the supported operations are:

Operation	Description
Create	On success, will deliver a <i>create</i> Request to the URL of the designated Service Provider
Update	On success, will deliver an <i>update</i> Request to the URL of the designated Service Provider
Delete	On success, will deliver a <i>delete</i> Request to the URL of the designated Service Provider
Query	On success, will deliver either a <i>query</i> (possibly paged and / or containing an XQuery Template ID) Request to the URL of the designated Service Provider.

In a Direct Architecture (no middleware), the above requests are all directly processed by the single implementation, which supports all Infrastructure Services including the Request Connector, as well as all available Utility and Object Services. Any Request routing occurring there is between the internal components of that implementation, and is therefore outside the scope of this specification.

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The following discussion therefore applies to the flow of Requests issued to a Connector Service in a Brokered Architecture only.

7.2 Identification of Service Provider

In order to correctly route a Consumer Request, the ultimate destination of the Service Provider must be determined. Scope the destination by identifying the Zone, Context and Service of the desired Provider with the Request, allowing the Requests Connector to supply “content based routing” functionality to determine the ultimate recipient¹⁸. If there is no registered Provider that meets those scoping requirements, or if the Consumer does not have appropriate authorization rights to issue that request to that Service Provider, the Request will be rejected.

7.3 Secure Request / Response Routing

Any Consumer Request can indicate that its Response is to be either “Immediate” or “Delayed”. In the Immediate case, the Request is issued as an HTTP Request, and the Requests Connector will route it to the appropriate Provider, and then route the Provider Response back to the open HTTP connection and return it synchronously in the HTTP Response.

If the Response is to be “Delayed”, the issuing Consumer provides the identity of one of its assigned Consumer Queues to which the Response from the Service Provider **must** be routed. The actual delayed Provider Response arrives back at the issuing Consumer’s specified Queue, in the form of an asynchronous incoming HTTP Request.

The Requests Connector encapsulates several levels of security functionality in the course of this Request / Response routing. These will be described below by following the complete exchange sequence and examining the security provided / imposed at each step.

¹⁸ If the Zone is not specified in a Request, the default Zone value for the issuing Consumer is used. If the Context is not specified in a Request the “DEFAULT” Context value is used.

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7.3.1 Consumer to Requests Connector

Every Request is sent out as an HTTP Request to the Requests Connector and includes the Consumer's *authorization*. For delayed Requests, a Queue ID is also included, corresponding to a previously allocated Consumer Queue that is assigned to receive the asynchronous response(s) to this request.

A Consumer must have previously been granted the appropriate authorization to issue a Request to the destination Service type in the specified Zone and Context, and the Provider for that Service must be available.

7.3.2 Internal to Requests Connector

The Requests Connector determines the ultimate destination of the request (see previous section). If the corresponding authorization right was not granted, the Requests Connector will discover this from the supplied *authorization*. In that case it will reject the Request.

The Requests Connector then associates the Request with the ultimate Response destination. For immediate (synchronous) responses, this is the HTTP connection opened by the Consumer, on which the Request arrived. That HTTP Request remains "open".

For delayed (asynchronous) responses, this is the Consumer Queue specified as the Queue ID for the original Request. Here the Requests Connector issues an HTTP Response with a status of 202 (*Accepted*), "closing" the HTTP Request. The Provider Response will arrive asynchronously at a later time.

7.3.3 Requests Connector to Provider Queue

At this point, the Requests Connector can deliver the Request. It replaces the Consumer's *authorization* token with the one the Service Provider was granted when it registered as a Consumer and sets the *sourceName* to the value of the *applicationKey*, before sending it on to the Provider's. This serves two purposes:

- It proves the validity of the incoming request to the Service Provider, which can now verify that its own *authorization* token is included.
- It avoids compromising the security of the Consumer, since the Consumer's *authenticationToken* remains known only to the Requests Connector.

A Service Provider has assigned a URL to receive its incoming Consumer Requests. Therefore once the Requests Connector determines the correct Service Provider, it

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delivers the Consumer Request (in the order received) at that URL as an incoming HTTP Request of exactly the same type, and with exactly the same payload as it had when it was issued.

7.3.4 Provider Response

The Provider services the request and composes a Response. It issues it as an immediate HTTP Response to the earlier HTTP Request.

7.3.5 Requests Connector to Consumer

When the Response from the Provider is received the Requests Connector will pass the response along to the ultimate destination, completing the Request/Response exchange sequence.

If this is an immediate response, the Provider's Response is sent back as the HTTP Response to the Consumer, completing the HTTP connection.

If this is a delayed response, there is no open HTTP connection. The Provider's Response is sent as an HTTP Request to the Queue specified in the original Consumer Request.

7.4 Data Structure

There is no specific Infrastructure Data Object associated with the Request Connector. However the following global elements (external to the contents of the actual data object involved) are among those contained "outside the body" of any request (*query, create, update* or *delete*) sent to the Request Connector.¹⁹

- messageId
- timestamp
- zoneId
- contextId
- objectName (data model specific if not a Utility Service)
- authorization

¹⁹ Please see the Base Architecture document for a detailed definition of these global elements.

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The requestsConnector inserts an additional global element only when the request is being sent to a Utility Service:

- environmentId (the id attribute of the Consumer's *environment*).

This may be used to determine which objects a given Consumer has created, allowing the Utility Service to optionally offer a Consumer the ability to query, update and delete only those objects that it has created.

The requestsConnector inserts an additional global element only when the request is to create a job object:

- fingerprint (the shareable id attribute of the Consumer's *environment*).

This may be used to publish events only to the job's owner.

There may be additional elements specific to the request type (ex: the starting page and number of pages defined in a Query request) that also accompanies the Request and/or the Response.

For the REST transport, the above elements and others are conveyed in non-XML format, in either the HTTP Header of the Request, or in matrix parameters or query string extensions to the base URL of the Request Connector Service, and are delivered the same way to the Provider's incoming Request Queue. This allows the developer of the Provider to unmarshal any object data directly from the HTTP Body of a POST or PUT without the need for writing special code to deal with a wrapper of type xs:any.

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8. Events Connector

As described in the previous section, a Consumer issues one of four operations to the Requests Connector: *query*, *create*, *update* or *delete*. In a Brokered Architecture, these operations are routed, and reissued to the specified Service Provider.

A Consumer, which is also a Service Provider, must be able to issue two additional message types above and beyond a Request.

- **Response:** A Service Provider receives all Consumer Requests on its supplied URL. This means they arrive as asynchronous HTTP Requests. The Provider processes the Request and sends its Response back as a synchronous HTTP RESPONSE, completing the connection. There is no need for a Connector Service to accept and route Provider Responses.
- **Event:** As a result of either processing a Consumer Request, or because of some internal data change, the Provider will publish a corresponding change Event of type *create*, *update* or *delete*. These are replicated and delivered to each Subscriber as an Event.

The Service Provider relies upon the Event Connector to securely deliver these published Events to their correct destination Queues in much the same way as the Service Consumer relies on the Requests Connector.

8.1 Overview of Basic Operations

When a Service Provider publishes an Event, it does so by posting the Event to the *eventsConnector* URL within its *environment* (allocated at the time when it successfully provisioned itself as a Provider)²⁰. For example, to invoke the *create* operation on a specified Object Service, the Consumer invokes the identical *create* operation on the Requests Connector Service.

²⁰ Whether the value of the *eventsConnector* URL is unique to the Service or identical in all cases is an implementation detail.

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Specifically, the supported operations are:

Operation	Description
Create	On success, will deliver the published <i>event</i> to the assigned Queue of every valid Subscribing Consumer.

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8.2 Security, Replication and Delivery to Subscribing Consumers

A Service Provider publishes an Event by issuing a “*create*” to the *eventsConnector* in the form of an HTTP Request. This includes the Provider’s *authorization* token, and the Zone and Context identification as well as the Service name of the publisher.

- The *eventsConnector* uses the token to verify that the Provider is authorized to issue this Event. If the publisher is not the authorized Provider, the *create* fails, an error is returned and an *alert* is created to report the problem.
- Otherwise the *eventsConnector* returns an HTTP status of 202 (“Accepted”) to the Publisher in the form of an HTTP Response, completing the exchange. There are no further interactions with the Event Publisher from this point on.
- The remaining elements are then used to “scope” the Event type and locate any / all previously subscribed Consumers. If none are found, the processing is completed.
- Otherwise, the Event is replicated and delivered to the Queue of every subscribing Consumer specified.

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8.3 Data Structure

The following global elements are contained “outside the payload” of any Event (*create*, *update* or *delete*) posted to the [Event Connector](#)²².

- `messageld`
- `timestamp`
- `zoneld`

²² Please refer to the Base Architecture document for a more complete description of these elements.

- contextId
- serviceType
- serviceName (data model specific if not a Utility Service)
- authorization
- eventType
- fingerprint

The above values are conveyed in non-XML format, in the HTTP Header of the Event. They are delivered the same way to the subscribing Consumer's Queue, and retrieved that way in response to a poll. This allows the developer of the Consumer to un-marshal the object data directly from the Body of the HTTP Response.

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9. Queues, Queue Instance and Queue Messages

There are three services involved with supporting the queuing and ordered retrieval of arriving asynchronous messages.

- A *Queues Service* which supports creation, deletion and querying of Queue (Instance) / Queue Messages Service pairs
- A *Queue Service* which is a service instance “dedicated” to a single Consumer owner, and responsible for collecting arriving asynchronous messages (delayed Responses and Events) and guaranteeing their first in / first out” (FIFO) ordered delivery. It also collects and maintains message traffic and Consumer usage statistics which can be queried.
- A *Queue Messages Service* which provides a way to retrieve the “oldest” non-accepted asynchronous message from the Queue without requiring the Consumer to support incoming HTTP connections (i.e. a “Polling” Queue)²³.

The mechanism by which incoming Request, Event or delayed Response messages are placed into a Queue Instance is an implementation detail of the Environment infrastructure. This section will define only the Consumer-facing interfaces of the three Queue-related services.

9.1 Service Operations

The following Consumer Requests (Service Operations) are supported by the set of **Queue** Services described above.

Queues Registry Operation	Service Description (https://www/.../queues)
Query	Returns the contents of one or more Queue Instance objects belonging to the Consumer issuing the Query, or optionally, all Queue Instance objects if the Consumer has Administrative level authorization
Create	Create a Queue Instance Only one Queue can be created (singular form only). This action will return a Queue object, with a uniquely assigned ID.
Delete	Optionally supported only for Consumers with Administrative level authorization. Only the Queue Instance owner can delete the Queue, and this is achieved by issuing a <i>delete</i> request directly to the Queue object.

²³ A *Polling Queue* is the (considerably extended) equivalent of the SIF 2.x “Pull Mode” Queue.

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Update	Unsupported.
Queue Instance Operation	Service Description (https://www/.../queues/{queueid})
Query	Returns the contents of the Queue object
Create	Unsupported. A Queue is created by issuing a <i>create</i> Request to the Queues Registry.
Delete	Destroys any associated Subscription Objects, throws away all queued messages and deletes the Queue Instance. This action sequence also automatically happens for all Queues owned by a Consumer when it deregisters by deleting its Environment object.
Update	Unsupported. Once a Queue instance is created, all Queue parameters are immutable.
Messages Operation	Service Description (https://www/.../queues/{queueid}/messages)
Query	Synchronously retrieves the oldest undelivered asynchronous message (delayed Response or Event) in a synchronous manner from the (FIFO) Queue Instance. Optional arguments and supported functionality will be examined in the subsections below.
Create	Optionally supported only for Consumers with Administrative level authorization, as a possible way of adding messages to a Consumer's Queue. Unsupported otherwise.
Delete	Remove the indicated message from the Queue Instance. Note that it also possible (and more efficient) to delete messages from the Queue using optional functionality in the Query Messages operation.
Update	Unsupported.

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9.2 Queue Instance Object

The following data elements comprise the Queue Instance Object, and represent all the information available to a Consumer about its own Queue Instances, and / or to Administrative applications about the Queue instances currently assigned to all Consumers in its Environment.

Element / @Attribute	Ch	Description	Type
queue			
queue@id	MC	The ID of the Queue Instance element in the Queues Registry. A Queue may be assigned as the destination for	xs:token (may or may not be a UUID) as this ID is

		the delivery of asynchronous Events by specifying its Queue ID when creating a <i>subscriptionRequest</i> ²⁴ or the destination for a delayed Response by specifying it in the Request.	set to a unique value by the environment provider.
queue/polling	O	Determines, when no messages are queued, whether the Consumer will have to periodically reissue Polling Requests at timed intervals or can reissue a Polling Request immediately.	One of: IMMEDIATE LONG The default value is IMMEDIATE
queue/ownerId	C	The Environment ID of the owning Consumer for which this Queue is buffering messages. It is not specified at Queue <i>create</i> time, but is returned as part of the response to a <i>query</i> operation, and serves to allow an administrative application to identify which application the Queue instance is assigned to.	xs:token
queue/name	O	A name which the Consumer assigns to the Queue. It is useful for reporting purposes, but is not guaranteed to be unique.	xs:token
queue/queueUri	M	The URI of the "Messages" Service associated with the Queue instance. This is the endpoint where the "get next message" requests are issued. Its value is typically "queues/{queueId}/messages" but it can be different.	xs:anyURI
queue/ownerUri	O	When present, this contains the URL which the Queue instance will use when it determines the owner needs to be asynchronously alerted that a new message has arrived in the Queue. In that case, it will send a "wake-up" HTTP POST whose payload contains a single integer indicating the	xs:anyURI

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²⁴ See the following section which describes the Subscription Service.

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		current number of messages queued up for the Queue Owner. This value is provided at Queue Instance creation.	
queue/idleTimeout	M	Non-zero only if the Queue instance is "Long Polling". If so, this is the maximum time in seconds the Messages Service will wait for a message to arrive, before returning an HTTP Response of "no message seen" to the Consumer in response to an open HTTP Request for the next message. Its upper end value is "suggested" by the Consumer on the Queue <i>create</i> Request, but may be limited by the Service to a value less than that.	xs:unsignedInt
Queue/minWaitTime	C	Not specified during Queue creation. It is returned in the resulting Queue object by the Queue Service. The value is generally zero only if the polling value is "LONG". Otherwise it indicates the minimum time in seconds that the Consumer should wait after receiving a "no message seen" response to a previous <i>query</i> , before posting another. If the Consumer does not wait that long before issuing the next Query, rather than being accepted, the response should be rejected with a General Service Error of "Service is Busy".	xs:unsignedInt
queue/maxConcurrentConnections	O	"Suggested" by the Consumer on the Queue <i>create</i> Request, but may be limited by the Queue Service to a value less than that.	xs:unsignedInt (default value of 1)
Statistical / Preventative Maintenance Elements			
queue/created	M	Time that Queue Instance was Created	xs:dateTime
queue/lastAccessed	M	Time that Queue Instance was last Accessed and a message was de-queued. A value significantly greater than the maxWaitTime, with a messageCount greater than one may indicate the owning application may be inactive	xs:dateTime

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		Initialized to time of Queue creation.	
queue/lastModified	M	Time that Queue Instance was last Modified by receiving a new incoming message. A value significantly greater than the maxWaitTime indicates low message traffic (Events, delayed responses) from the data source(s) assigned to this Queue. Initialized to time of Queue creation.	xs:dateTime
queue/messageCount	M	The number of messages currently residing in the Queue. A high number may indicate the application is overburdened.	xs:unsignedInt

The XML example below reflects a typical “Long Polling” Queue Object payload, where the Queue will hold the connection open for up to 30 seconds after a Request for the next message is received, before returning failure due to no message being available. As is true with most Long Polling Queues, according to the minWaitTime setting, the Consumer can repost a new Request immediately. Only one simultaneous connection is allowed.

```
<queue id="53756efe-18d0-4fd4-84ce-fe824719426d">
  <polling>LONG</polling>
  <ownerId>ef87654fa0987dc09abc</ownerId>
  <name>TestQueue</name>
  <queueUri>https://example.a41.org/queues/queue/message</queueUri>
  <idleTimeout>30</idleTimeout>
  <minWaitTime>0</minWaitTime>
  <maxConcurrentConnections>1</maxConcurrentConnections>
  <created>2013-06-03T14:42:36.330-07:00</created>
  <lastAccessed>2013-06-03T14:42:38.810-07:00</lastAccessed>
  <lastModified>2013-06-03T14:42:38.810-07:00</lastModified>
  <messageCount>7</messageCount>
</queue>
```

9.3 Message Service

There is only a single dedicated Messages Service URL (the queueUri) associated with the Queue Object, which is used by the Queue owner to request delivery of the “oldest” message in the queue. The Messages Service delivers all Event and delayed Response messages as immediate HTTP Responses to Consumer issued HTTP Requests.

There are several important options and considerations regarding how a Consumer may access its FIFO collection of asynchronously delivered messages.

9.3.1 “Get Next and Pop” (Query with deleteMessageId)

There is an optional “*deleteMessageId*” matrix parameter in the *query* Messages operation which effectively provides the owning Consumer with the two Query alternatives shown below.

Polling Operation	Description
Query (no deleteMessageId)	<p>If no message is available for delivery, an HTTP status of 204 (No Content) is returned. The Queue owner must delay for at least the number of seconds specified in the <i>minWaitTime</i>, before reissuing another Query operation to see if further messages have arrived.</p> <p>If there is at least one message on the Queue, it is returned in the HTTP Response payload. The owner should save the Message ID and process the message. When processing is completed the owner should issue a Query with this <i>messageId</i> as the “<i>deleteMessageId</i>” parameter (see below)</p>
Query (deleteMessageId set to the Message ID of the last successfully delivered and processed message obtained from this Queue)	<p>If no message is available for delivery, an HTTP status of 204 (No Content) is returned. The Queue owner must delay for at least the number of seconds specified in the <i>minWaitTime</i>, before reissuing another Query operation to see if further messages have arrived.</p> <p>If there is at least one additional message in the Queue later than the one with the specified Message ID, that is returned in the HTTP Response payload. The Messages Service then automatically “deletes” the identified message (i.e. removes it from the Queue).</p> <p>When message traffic is high, this option cuts down the number of messages exchanged between the Messages Service and its owner by a factor of two over the alternative of issuing a <i>query</i> followed by a separate <i>delete</i> for each message.</p>

There are two “*get next and pop*” edge cases that deserve special consideration. Assume the Consumer issues a “*Query and delete last message*” to the Message Service, and the Service response “gets lost”. This leaves the Consumer in doubt over whether the last message has in fact been deleted. In this situation, the Consumer can “re-synch” by issuing a Query (without specifying the *deleteMessageId*).

- If the response contains the ID of the message that it had earlier indicated should be deleted, the Consumer can safely assume that its previous request had never

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made it, and **should** immediately re-issue the previous “Query and delete last message”.

- Otherwise the Consumer can safely assume that the previous request was successful and it was the Service response containing the just received message that was lost. In that case, since it now has the message at this point, the Consumer can proceed as normal.

From the Message Server’s point of view, a problem can arise when it is asked to delete a message corresponding to a messageId it does not have, or which is not currently “outstanding” in terms of having been previously sent to the Consumer for processing.

- The response here should be the same as for handling any delete request containing an invalid messageId: send back an HTTP error message with code 404 (“Not Found”) to report this.
- Any Consumer receiving such a “could not find message to delete” error **should** post an Alert, but then could go back to normal message processing.

9.3.2 Long Polling

If during the *create* Queue operation, the Consumer set the polling element to LONG, a “special” type of Messages Service will be created which supports “long polling”.

The default (IMMEDIATE) Queue behavior is that a Consumer issues a *query* operation to retrieve the next message, and is informed immediately if no message is queued. In periods of low message traffic, this might result in the Consumer issuing periodic *query* Requests every 60 seconds or so (assuming that was at or greater than the value demanded by the minWaitTime element). If a message arrives anywhere in that timeframe, it is not reported until the next Consumer Query arrives, introducing a possible processing delay of from 1 to 59 seconds until it is discovered.

However if the Consumer had created a LONG Polling Queue with an idleWait value of 60 seconds (and a minWaitTime value of 0), the Queue will hold the query, and either respond with the incoming message when it arrives, or, after 60 seconds, return the Query with an HTTP status of 204 (No Content). On receiving that response the Consumer **should** immediately re-issue a new Query.

The end result is that by creating a LONG Polling Queue, the Consumer is always alerted immediately that a message has arrived, because it effectively always has a Query request pending. This functionality is identical whether there are one or multiple connections.

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9.3.3 Support for multiple concurrent Messages Service Connections (optional)

A Consumer will receive messages from a Messages Service sequentially in FIFO order, and can create and utilize the services of one or more Messages Queues. However, a given Consumer **may** also be written to support (when available) more than one multiple simultaneous concurrent connection with a single Queue, which could be useful in periods of high message traffic

Such a Consumer may request this functionality when it creates the Queue instance by setting the value of *maxConcurrentConnections* to greater than 1. If the created Queue also supports concurrent connections (it is not required to do so) the request will be honored. Specifically the value of *maxConcurrentConnections* would be set to a value greater than 1 in the resulting Queue object. Otherwise if either the Consumer or Service does not support this feature:

- The value of *maxConcurrentConnections* is set to 1 and only one Queue Connection is supported
- No Message *query* Request or Response can include a *connectionId* HTTP header element

If multiple Concurrent Connections are supported, the HTTP header element *connectionId* **must** be used by the Consumer to identify which Connection each given query operation applies to. When provided for the first time in a Query, it indicates a new concurrent connection is to be initiated and assigned that ID (assuming the maximum concurrent connection limit has not been reached). When the *connectionId* matches that of an existing connection, the query is processed concurrently, specific to that connection stream. The successful response to such a query will always include the *connectionId* contained in the original Request, to which the returned message applies.

Server-side Requirements

The Messages Service must maintain a separate “last message” for every active connection. Upon receiving any *query* Request, a Messages Service which supports multiple Concurrent Connections should reject the Request with the “Not Found” Error²⁵ if:

²⁵ Please refer to the appropriate table in Appendix C.

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- The *connectionId* element is not included in the HTTP header
- The *connectionId* is new (implying a new connection is being requested) but the specified connection limit has been reached.
- The *connectionId* is new, but a *deleteMessageId* parameter is included.

Queries issued using a *connectionId* will execute in parallel with active Queries issued using other *connectorIds*, resulting in multiple HTTP Requests awaiting HTTP Responses. From the Messages Service perspective, there is a single FIFO queue of messages.

Whenever the Messages Service returns a message in response to a Query request, that message is “pulled” from the single FIFO queue and associated with the connection on which the Query was returned. After that point:

- A new Query with a matching *deleteMessageId* and known *connectorId* arrives. The retained message is freed and a new message is extracted from the FIFO queue, sent back in response, and associated with this connection.
- A Query without a *deleteMessageId* but with this *connectorId* arrives. The retained message is returned.

There is a further consideration that must be understood by Consumers availing themselves of multiple simultaneous connections to the same Messages Queue.

- **Messages may be processed out of order:** Assume two events (create Object X, update Object X) are published “back to back”, and are handed off to Consumer M’s Queue connections A and B respectively.

Thread A working to process the *update* may reach the point where it is trying to identify an internal record corresponding to the ID of object X, before thread B which is concurrently creating object X has saved its ID. Specific multithreading logic may be required to prevent this type of confusion that could be ignored otherwise.

9.3.4 Support for “Wake Up”

If the Consumer includes the *ownerURI* element in a *create* Queue Request, then either:

- A special Queue Instance will be created that will issue asynchronous “wake up” HTTP POST Requests back to that URL when it determines the owner needs to be asynchronously alerted that a new message has arrived in the Queue. This is typically (but not required to be) whenever the arriving message is the first message in the Queue. The payload of each “wake up” POST will contain a single

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unsigned integer containing a value less than or equal to the number of messages currently in the queue.

- An HTTP error of 405 (*Service not Allowed*) will be returned if this type of Queue is not available. In that case the Consumer **should** reissue a Create Queue request without the ownerURI.

Where available, a “wake up” Queue Instance can serve as an alternative to “Long Polling”, as it eliminates message response latency times without requiring the presence of a continuously open HTTP connection. The owning Consumer can create such a Queue, and use it as the destination when issuing delayed Responses or Event subscriptions. At that point the following sequence of operations goes into effect:

- A message arrives in the Queue Instance. If no messages were previously in the Queue, a “wake up” HTTP POST is dispatched to the URL originally contained in *ownerURI*.
- The Consumer processes the wake up, and in response, issues a “*get next and pop*” request to the Queue Instance associated with the URL the “wake up” arrived at.
- If the *get next and pop* was successful, the Consumer processes the returned message and repeats this step.
- If the *get next and pop* failed because the Queue Instance was empty, the Consumer should close the HTTP connection to the Queue Instance.
- This entire sequence will repeat when the next asynchronous message arrives..

Note that any Consumer creating such a Queue Instance **must** be capable of receiving and processing incoming HTTP Requests (i.e. the Consumer must be capable of functioning as a web service).

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10. Subscriptions

A Consumer uses the *Subscriptions* Infrastructure Service to *subscribe* to Events published by one or more Service Providers. The Events of each Provider will be asynchronously delivered in FIFO order to the assigned Queue, and can then be accessed by the Consumer.

10.1 Supported Operations

A *subscription* may either be created or deleted. Any Consumer which intends to subscribe to Events from Service Provider X must first:

- Ensure it has authorization to subscribe to data from Service Provider X
 - This may have been pre-authorized by site administrators before the Consumer registered, in which case the subscribe access will be set to APPROVED in the Environment, or it may involve successfully creating a provisionRequest which asserts that authorization. For Report or Composite object types that do not issue Events, the access value should indicate UNSUPPORTED, and any *create* Subscription request will fail.
- Successfully create at least one Queue Instance
- Successfully create a Subscription Instance which joins the specific Service Provider and the specific Queue

At that point, Events published by the Service Provider will begin asynchronously arriving in the Queue Instance, and can be retrieved by the Consumer in FIFO order. They will stop arriving when and if the Consumer deletes the *subscription* object.

Operation	Description
Query	Returns the contents of one or more Subscription objects belonging to the Consumer issuing the Query.
Create	Create a Subscription. Only one subscription can be created (singular form only). Success will result in the future arrival in the specified Queue, of any Events published by the specified Service Provider. If this Consumer is already subscribed to the specified Event type, the <i>create</i> request will fail.
Delete	Delete a Subscription. Published Events for this object type will no longer be placed into the specified Queue, although existing Event messages will remain until polled for by the Consumer.
Update	Unsupported. Once a Subscription is created, all supplied data about the object type subscribed to and the Queue assigned to receive Events are immutable.

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10.2 Subscription Data Elements

The following data elements comprise the contents of a Subscription Object. All are immutable.

Element	Char	Description	Type
subscription		Individual Subscription	
subscription@id	M	The unique id of the Subscription.	xs:token
subscription/zoneId	M	The unique Zone in which the Service Provider may be found. If none was specified in the Subscription <i>create</i> Request, the Consumer's default Zone value is used.	xs:token
subscription/contextId	M	The Context of the Event data which is supplied by the Service Provider. If none was specified in the Subscription <i>create</i> Request, a value of DEFAULT is used	xs:token
subscription/serviceType	M	The "generic" type of Service being provided.	One of: * "UTILITY" * "OBJECT" * "FUNCTION"
subscription/serviceName	M	The name of the Service (ex: "students")	xs:token
subscription/queueId	M	The identification of the Queue which will receive the asynchronous events when published by the Object Provider.	xs:token

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10.3 XML Examples

The payload of a *create* Subscription request for Student Object Events is shown below. Note the Subscription ID attribute is an empty token. The value will be supplied by the Subscriptions service.

```
<subscription id=""d3d64274-27b7-11e6-b67b-9e71128cae77">  
  <zoneId>SuffolkMiddleSchool1SI</zoneId>  
  <contextId>DEFAULT</contextId>  
  <serviceType>OBJECT</serviceType>  
  <serviceName>Student</serviceName>  
  <subscriptionName>RamseyPortal</subscriptionName>  
  <queueId>53756efe-18d0-4fd4-84ce-fe824719426d</queueId>  
</subscription>
```

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11. Services Connector

A Consumer accesses every Infrastructure Service through its individual URL, provided within the Consumer environment when the Consumer originally registered. For access to Functional Services the Consumer uses the URL of the Services Connector Infrastructure Service.

This URL provides the “pipe” into which all Consumer Functional Service Requests are entered, and the *servicesConnector* owns securely and efficiently routing every entered request (and its response) to the assigned Queue of its correct destination.

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11.1 Operations

Unlike data services, what functional what operations are available involves multiple factors. For this reason, those endeavoring to leverage a function service definition should see the Functional Services document.

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11.2 Identification of Service Provider

In order to correctly route a Consumer Request, the ultimate destination of the Functional Service Provider must be determined. Scope the destination by identifying the Zone, Context and Service of the desired Provider with the Request, allowing the Services Connector to supply “content based routing” functionality to determine the ultimate recipient²⁶. If there is no registered Provider that meets those scoping requirements, or if the Consumer does not have appropriate authorization rights to issue that request to that Functional Service Provider, the Request will be rejected.

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Deleted: When a Service Consumer wants to invoke a method on a Functional Service it does so by invoking the parallel method on the URL supplied by the *servicesConnector* Service (allocated within its *environment* at the time when it first registered as a Consumer). For example, to invoke the *create* operation on a specified Functional Service, the Consumer invokes the identical *create* operation on the Services Connector Service.

Description

... [1]

²⁶ If the Zone is not specified in a Request, the default Zone value for the issuing Consumer is used. If the Context is not specified in a Request the “DEFAULT” Context value is used.

11.3 Secure Request / Response Routing

The Consumer Request is issued as an HTTP Request, and the Services Connector will route it to the appropriate Provider, and then route the Provider Response back to the open HTTP connection and return it synchronously in the HTTP Response.

The Services Connector encapsulates several levels of security functionality in the course of this Request / Response routing. These will be described below by following the complete exchange sequence and examining the security provided / imposed at each step.

11.3.1 Consumer to Services Connector

Every Request is sent out as an HTTP Request to the Services Connector and includes the Consumer's *authorization*.

A Consumer must have previously been granted the appropriate authorization to issue a Request to the destination Service type in the specified Zone and Context, and the Provider for that Service must be available.

11.3.2 Internal to Services Connector

The Services Connector determines the ultimate destination of the request (see previous section). If the corresponding authorization right was not granted, the Services Connector will discover this from the supplied *authorization*. In that case it will reject the Request.

The Services Connector then associates the Request with the ultimate Response destination. This is the HTTP connection opened by the Consumer, on which the Request arrived. That HTTP Request remains "open".

11.3.3 Services Connector to Provider Queue

At this point, the Services Connector can deliver the Request. It replaces the Consumer's *authorization* token with the one the Functional Service Provider was granted when it registered as a Consumer and sets the *sourceName* to the value of the *applicationKey*, before sending it on to the Provider's. This serves two purposes:

- It proves the validity of the incoming request to the Service Provider, which can now verify that its own *authorization* token is included.
- It avoids compromising the security of the Consumer, since the Consumer's *authenticationToken* remains known only to the Services Connector.

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A Functional Service Provider has assigned a URL to receive its incoming Consumer Requests. Therefore, once the Services Connector determines the correct Functional Service Provider, it delivers the Consumer Request (in the order received) at that URL as an incoming HTTP Request of exactly the same type, and with exactly the same payload as it had when it was issued.

11.3.4 Provider Response

The Provider services the request and composes a Response. It issues it as an immediate HTTP Response to the earlier HTTP Request.

11.3.5 Services Connector to Consumer

When the Response from the Provider is received, the Services Connector will pass the response along to the ultimate destination, completing the Request/Response exchange sequence.

The Provider's Response is sent back as the HTTP Response to the Consumer, completing the HTTP connection.

11.4 Data Structure

There is no specific Infrastructure Data Object associated with the Services Connector. However, the following global elements (external to the contents of the actual data object involved) are among those contained "outside the body" of any request (*query, create, update* or *delete*) sent to the Services Connector.²⁷

- `messageld`
- `timestamp`
- `zoneld`
- `contextld`
- `serviceName`
- `authorization`
- `fingerprint`

²⁷ Please see the Base Architecture document for a detailed definition of these global elements.

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There may be additional elements specific to the request type that also accompanies the Request and/or the Response.

For the REST transport, the above elements and others are conveyed in non-XML format, in either the HTTP Header of the Request, or in matrix parameters or query string extensions to the base URL of the Request Connector Service, and are delivered the same way to the Provider's incoming Request Queue. This allows the developer of the Provider to unmarshal any object data directly from the HTTP Body of a POST or PUT without the need for writing special code to deal with a wrapper of type xs:any

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Appendix A: The Role of the Environment Administrator

The responsibilities of a SIF 3.0 solutions Administrator are outlined below.

A.1 Setting up the Environments

- Determine the “types” of solution environments that will be created (such as *test*, *staging* or *production*).
- Within each Environments type, create multiple “Zones” each corresponding to a different level within the educational organization such as a school or district. Optionally create additional Zones dedicated to one aspect of that organization such as Special Ed students.
- Install any associated Utility Services
- Pre-provision the Zone assignment(s), authorization rights and resource limits for a set of applications, each having a known authentication identifier.
- Pre-provision any associated XML filters (to limit the set of elements the application can access within the set of objects it has already been approved to access).

A.2 Administrating the Environments

- Ensure critical Service Providers successfully register as Consumers, and subsequently register their objects and functions in the desired Zone or Zones.
- Optionally review and respond to additional provisioning requests from existing Consumers already registered into an Environment.
- Monitor the Alert Logs and detailed information from individual Queues to allow early detection of inactive applications and / or network errors.
- Monitor the per-connection message traffic statistics from the Collector and Queues to measure and track solution performance and scalability.

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Appendix B: Connection Topologies

There are three types of connection topologies available to developers, integrators and end users who are constructing and deploying SIF solutions.

- SIF 2.x “Classic” Zone
- SIF 3 Direct Architecture
- SIF 3 Brokered Architecture

The following table highlights the differences between them.

SIF 2.6 / 2.7 “Classic” Zone	SIF 3 Direct Architecture	SIF 3 Brokered Architecture
Summary		
SIF 2.x Data Model compliant XML exchanged via the Classic SIF Brokered infrastructure	SIF 3.0 Data Model compliant XML exchanged over a direct connection between Consumers and a single Provider implementation	SIF 3.0 Data Model compliant XML exchanged between Consumers and multiple Providers via an intermediary.
SIF Data Model Payload		
SIF 2.x	SIF 3.0, 3.1, ...	SIF 3.0, 3.1, ...
Data Model Flexibility		
No. Infrastructure is tied to specific version of the SIF Data Model	Yes. Infrastructure is independent of data payload contents.	Yes. Infrastructure is independent of data payload contents.
Payload Format		
XML only	XML or JSON (Gossner) over the wire.	XML or JSON (Gossner) over the wire.

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SIF 2.6 / 2.7 "Classic" Zone	SIF 3 Direct Architecture	SIF 3 Brokered Architecture
Middleware required?		
Yes. The ZIS	No. All communications in a Direct Architecture are 2-Party	Yes. All data exchanges are mediated by a 3rd Party Message Broker / ESB utilizing middleware APIs.
Flexibility		
<p>Additional data object types may be provided by new Zone registrants who provision to supply them.</p> <p>They can then be queried and updated by all registered clients in the Zone with the proper Authorization rights.</p>	<p>The Consumer can query and update data of only the fixed set of Provider Services supported by the application implementing the Zone interface.</p>	<p>Additional data object types may be provided by Consumers who are authorized to provision themselves as Service Providers</p> <p>Their data can then be queried and updated by all registered Consumers with the proper Authorization rights.</p> <p>The Brokered Architecture extends but does not replace the functionality provided to Direct Architecture Consumers.</p> <p>As a result, any Consumer limiting itself to Direct Architecture functionality is capable of being redeployed within a Brokered Architecture as well.</p>
Zones		
<p>Zones are totally isolated. There is no client / service communication unless both are registered in the same Zone.</p>	<p>Anything more than a single Zone accessible within a Consumer's Direct Architecture represents optional functionality.</p>	<p>There are as many Zones as necessary for the needs of the organization. These are accessible within a Consumer's Brokered Architecture, and each Consumer is assigned a default Zone.</p>

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SIF 2.6 / 2.7 "Classic" Zone	SIF 3 Direct Architecture	SIF 3 Brokered Architecture
Application Interactions		
<p>Multiple clients are connected to multiple Object Providers in the Zone.</p> <p>All Client and Object Providers in a Zone can see each other's published Events</p> <p>Any client can provision itself as an Object Provider and can discover other clients in the Zone.</p> <p>Each client and Object Provider has access to only a single FIFO queue for its arriving messages.</p>	<p>Multiple Consumers are connected to the Objects and / or Functions supported by a single Environments Provider implementation.</p> <p>Each Service Consumer is unaware of any other Consumers in the Direct Architecture.</p> <p>Cross-Consumer communication is possible through Events ... if one Consumer updates the state or the data of a supported Service, every subscribing Consumer will see the corresponding Event.</p>	<p>Multiple Service Consumers are connected to multiple Service Providers in multiple Zones.</p> <p>As with the Direct Architecture, Service Consumer clients can see the Events resulting from each other's Service invocations,</p> <p>Given proper access rights, any Consumer can provision itself as a Service Provider.</p> <p>Consumers and Service Providers can open multiple queues to prioritize certain message types and increase Zone performance scalability.</p> <p>Additional Utility Services are available to Consumers including a full featured Alert Log.</p>
Manageability		
<p>Management tools are whatever the ZIS product supplies, and traditionally this has been equivalent to the management functionality provided by the combination of an ESB and a Service Registry.</p>	<p>Management tools are whatever the application implementing the Environments Provider Interface supplies.</p> <p>While this is generally less extensive than management functionality provided by a ZIS, ESB or Service Registry, the deployment is smaller, and management of services is made much easier by the fact that all Service Provider interfaces are provided by the same implementation which provides the Direct Architecture's API.</p>	<p>In addition to the SIF 2.x Management functionality, Brokered Architecture Providers may supply:</p> <ul style="list-style-type: none"> • Retrieval of Alert Log information through straightforward Queries (ex: it is now possible to find out what was logged against application X) • Query capability of the Queue Manager statistics to determine the number of messages, size and time of last delivery of the messages in the queues of each Consumer and Provider across all the Zones of the Environment. <p>This should be extremely useful in predicting and then isolating application-specific problems.</p>

SIF 2.6 / 2.7 "Classic" Zone	SIF 3 Direct Architecture	SIF 3 Brokered Architecture
Typical Use Case		
<p>When a collection of applications at the school, district or regional levels need to interoperate NOW in support of an educational solution.</p> <p>All applications can be SIF-certified, and there is every expectation that each will interoperate "out of the box" with other SIF 2.x partners.</p>	<p>When an application only needs data from one other application.</p> <p>Consider a Student Contact application needing to access Student Name, Addresses and Phone Numbers from an SIS system, or a student dashboard application running on a mobile device.</p> <p>If the SIS system is a Direct Architectures Provider, it enables both these applications to access its data directly without the need to install middleware.</p> <p>The Student Contact System can be additionally deployed in a SIF 3.0 Brokered Architecture without change</p>	<p>When an organization is trying to construct and securely manage a complex group of intercommunicating applications.</p> <p>The SIF 3.0 Brokered Architecture extends the remote management functionality of earlier releases (including both preventative maintenance and security).</p> <p>It supports a growing set of "Functional" services as well (which encapsulate educational processes such as Student Record Exchange", "Locate Student" and "Assess Scoring" as well as provide data).</p>

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Appendix C: HTTP Codes and Header Fields

A SIF Status Code will be returned in the HTTP Status field in the HTTP Header, whenever an Error object is returned in response to a Request. This field can have one of the following values:

C.1 HTTP Success Codes

This content is now found in the Base Architecture document section 4.6

C.2 HTTP Error Codes

This content is now found in the Base Architecture document section 4.5.2

C.3 HTTP Header Fields

This content is now found in the Base Architecture document section 4.3.2

C.4 URL Matrix Parameters

This content is now found in the Base Architecture document section 4.3.2

See also section 4.3.3 of the Base Architecture document.

C.5 URL Query Parameters

This content is now found in the Base Architecture document section 4.3.2

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Appendix D: Common Types

Common and supporting types referenced in this specification are included here as a reference.

D.1 ErrorType

Element or @attribute	Char	Description	Type or Value
errorType		Used to indicate an unsuccessful response.	
@id	M	The identity of the Error Object	UUID
code	M	Corresponds to the value contained in the HTTP Header Status field in which the Error Object is the payload. ²⁸ Its presence allows the Error Object to be self-contained when / if persisted	xs:unsignedInt Ex: * 400 (Bad Request) * 404 (Object not found)
scope	M	Attempted operation. Ex: "Modify Student failure"	xs:string (xs:maxlength = 80)
message	M	A simple, easy to understand, compact description of the error. The primary consumer of this message is the application user. Example: "Unable to open database."	xs:string (xs:maxlength = 1024)
description	O	An optional error description that is more complete and technical in nature. It is to be used as a diagnostic message in trouble-shooting procedures. Example: "The 'Students' table is opened in exclusive mode by user 'ADM1' (dbm.cpp, line 300)."	xs:string

²⁸ See the HTTP Error Code section below for the complete list of such codes.

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D.2 UUIDType

SIF format for a UUID.

Element	Char	Description	Type or Value
uuidType		Unique Identifier of the form: 00000138-c8f6-4a48-000a-7b229286dd57	xs:token xs:pattern [a-fA-F0-9]{8}-[a-fA-F0-9]{4}-[14][a-fA-F0-9]{3}-[a-fA-F0-9]{4}-[a-fA-F0-9]{12}

D.3 VersionType

A SIF infrastructure version number

Element	Char	Description	Type or Value
versionType		A SIF infrastructure version number of the form: 3.0. or 3.0.1	xs:token xs:pattern [0-9]{1,3}[.][0-9]{1,3}([.][0-9]{1,3})?

D.4 PropertyType

Element / @Attribute	Char	Description	Type or Value
propertyType		Value of Property. When contained in an update Request or Event, a property value of xs:nil indicates that the parameter is being deleted rather than its value is being changed.	xs:token
property@name		Name of Property	xs:token (maxlength 80)

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D.5 ProtocolType

Element / @Attribute	Char	Description	Type or Value
protocolType		Contains Protocol Information regarding how to contact a Service Provider	
location	M	The URL to use when sending Requests to a Provider	xs:anyURI
properties	O	May contain zero or more <i>property</i> elements containing <i>name/value</i> pairs describing any protocol settings required to ensure proper communication	
property	OR	Individual setting	propertyType

D.6 ProductIdentityType

Element / @Attribute	Char	Description	Type or Value
productIdentityType		Contains Product information used in Consumer and Provider Registration, and returned as part of Zone Status	
vendorName	O	The name of the company supplying this Product.	xs:token (maxlength 256)
productName	M	The name of the Product	xs:token (maxlength 256)
productVersion	O	The format of this field is undefined, but it should match the format used in the agent's conformance statement, if the agent is SIF Certified.	xs:token (maxlength 80)
iconUri	O	HTTP URL referencing an icon for graphical representation of the application/agent. Should range from 16x16 pixels to 128x128 pixels and be of an image MIME type commonly supported by Web browsers (e.g. PNG, JPEG, GIF).	xs:anyURI

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D.7 InfrastructureServiceNameType

Element / @Attribute	Char	Description	Type or Value
infrastructureServiceNameType		A choice list containing one of the names of the infrastructure services that together form the basic Consumer Environment	An enumerated list restricted to the following values: <i>environment</i> <i>requestsConnector</i> <i>eventsConnector</i> <i>provisionRequests</i> <i>provisionRequest</i> <i>queues</i> <i>queue</i> <i>subscriptions</i>

D.8 UtilityServiceNamesType

Element / @Attribute	Char	Description	Type or Value
utilityServiceNamesType		A choice list containing one of the names of the defined utility services that provide infrastructure (rather than Data Model or Educational Process) functionality. Not all of these may be present in every Zone.	An enumerated list restricted to the following values: <i>alerts</i> <i>zones</i> <i>providers</i> <i>xQueryTemplates</i> <i>codeSets</i> <i>nameSpaces</i>

Appendix E: Acknowledgements

SIF 3 is an open standard.

Architecting, designing, documenting and validating the SIF 3.0 Infrastructure technology was a complex and challenging effort that would have been impossible without the contributions of many SIF members. The efforts and accomplishments of the following core group of participants in particular deserve to be recognized.

In alphabetical order, they are:

- Bill Duncan – Principal Architect, Pearson
- Ian Tasker - Director of Innovation and Development, SchoolsICT Limited
- Joerg Huber - SIF Solution Architect, Systemic Pty Ltd
- Robert Hutchinson – CEO, VisualSI
- John W. Lovell - Senior Systems Developer, SIF Association
- Mike Reynolds - Technical Architect, TIES
- Ron Kleinman - CTO, SIF Association
- Xavier Wiechers - Principal Developer, Pearson

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